

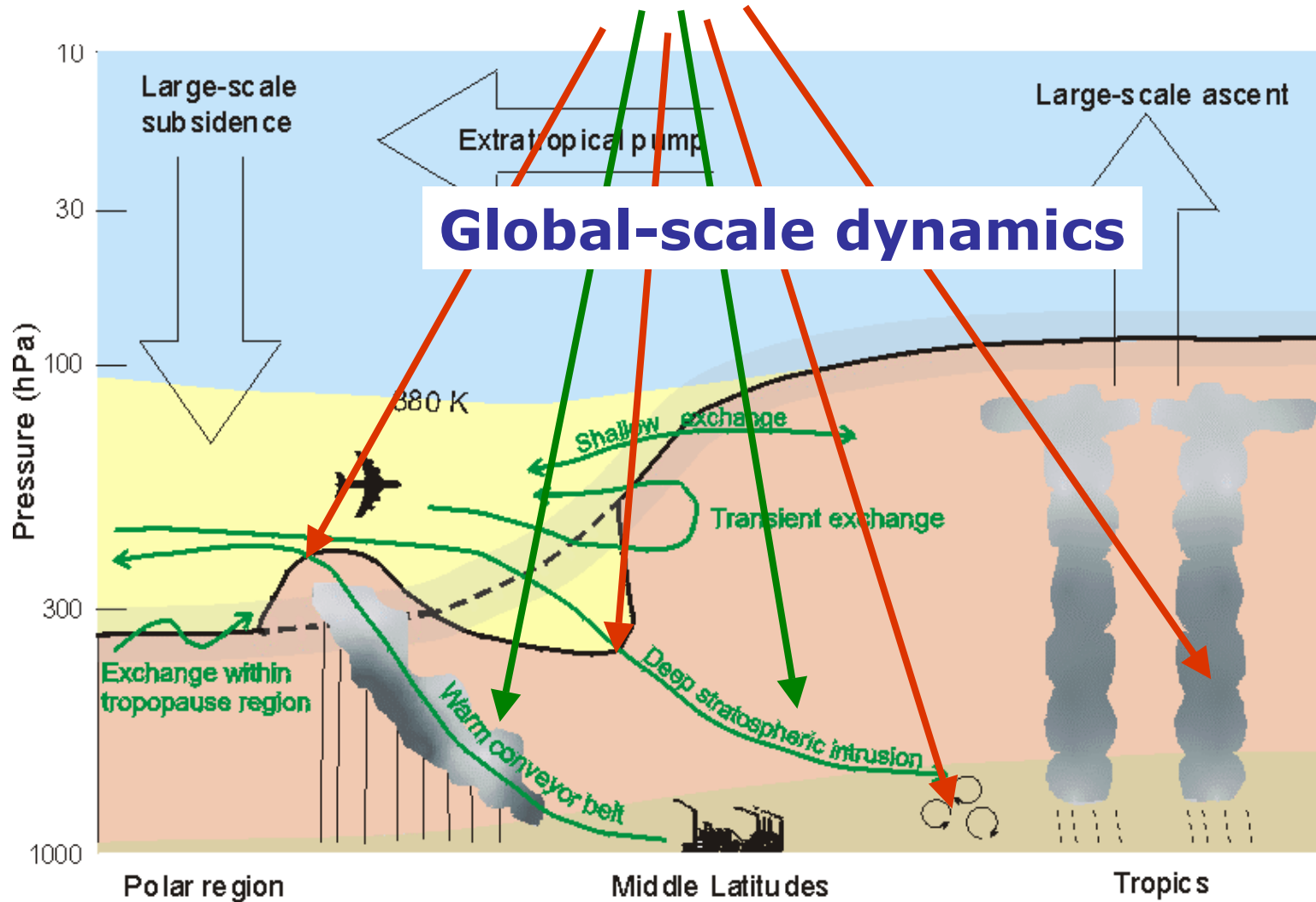
# **Cross tropopause transport:**

## **Processes and quantification based upon ECMWF analyses**

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ETH Zürich**

**With contributions from  
Michael Sprenger  
Michel Bourqui  
Peter Hoor**

# planetary scale processes



Stohl et al. 2003 (BAMS)

# **STE: a multi-disciplinary topic**

**Understanding exchange processes:  
mesoscale to global dynamics**

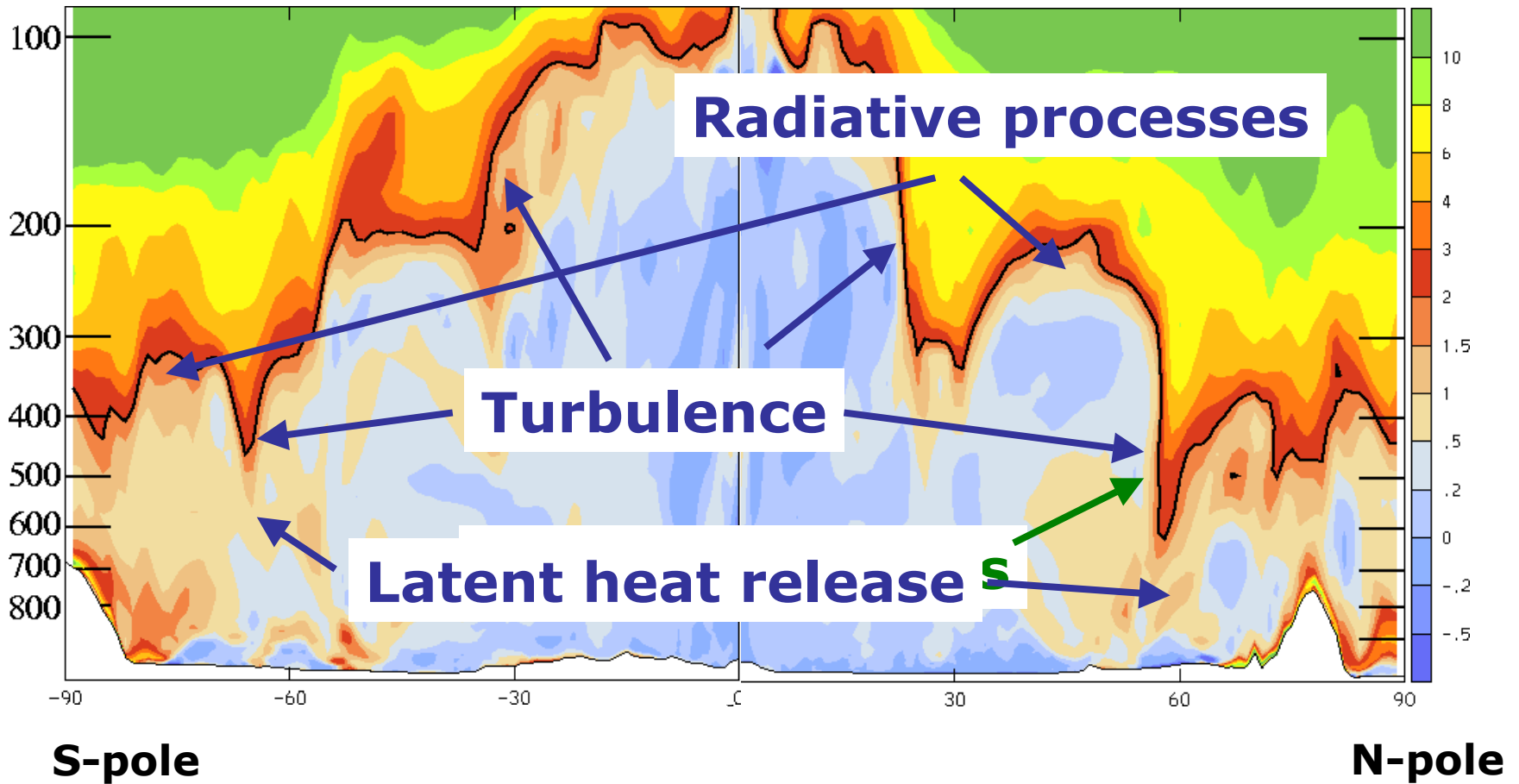


**Linkage:  
planetary-scale transport**



**Effects:  
chemistry and climate**

# The 2-pvu tropopause



# Transport across the 2-pvu TP

$$\frac{D}{Dt} PV = 0 \quad \text{for adiabatic flow}$$

⇒ **Cross tropopause transport requires diabatic processes**

$$\frac{D}{Dt} PV = \rho(f\vec{k} + \nabla \times \vec{u}) \nabla \dot{\theta} + \rho \nabla \theta \cdot (\nabla \times \vec{F})$$

**latent heat release  
radiative processes**

**turbulence  
surface friction**

# Questions

## Understanding exchange processes

- (1)** relevant **mesoscale processes** (turbulence, radiation, ...)?
- (2)** (sub)synoptic-scale **structures** that host the exchange events (TP folds, streamers, cut-off decay, ...)?
- (3)** larger-scale processes leading to these structures (Rossby wave breaking, cyclogenesis, blocking, ...)?

# Questions

## Implications for chemistry and climate

**(4) quantification** of climatological exchange fluxes (mass, ozone, water vapour, ...)?

**(5) geographical distribution** of exchange?

**(6) typical transport pathways:**  
„origin“ and „destination“ of exchange air parcels?

**(7) typical residence times** of exchange air parcels?

# Questions

## Methods, Data, Verification

(8) What is the appropriate **method** to diagnose STE?

(9) Use and quality of **data**: observations, models, reanalyses?

(10) **Verification** of exchange estimates?

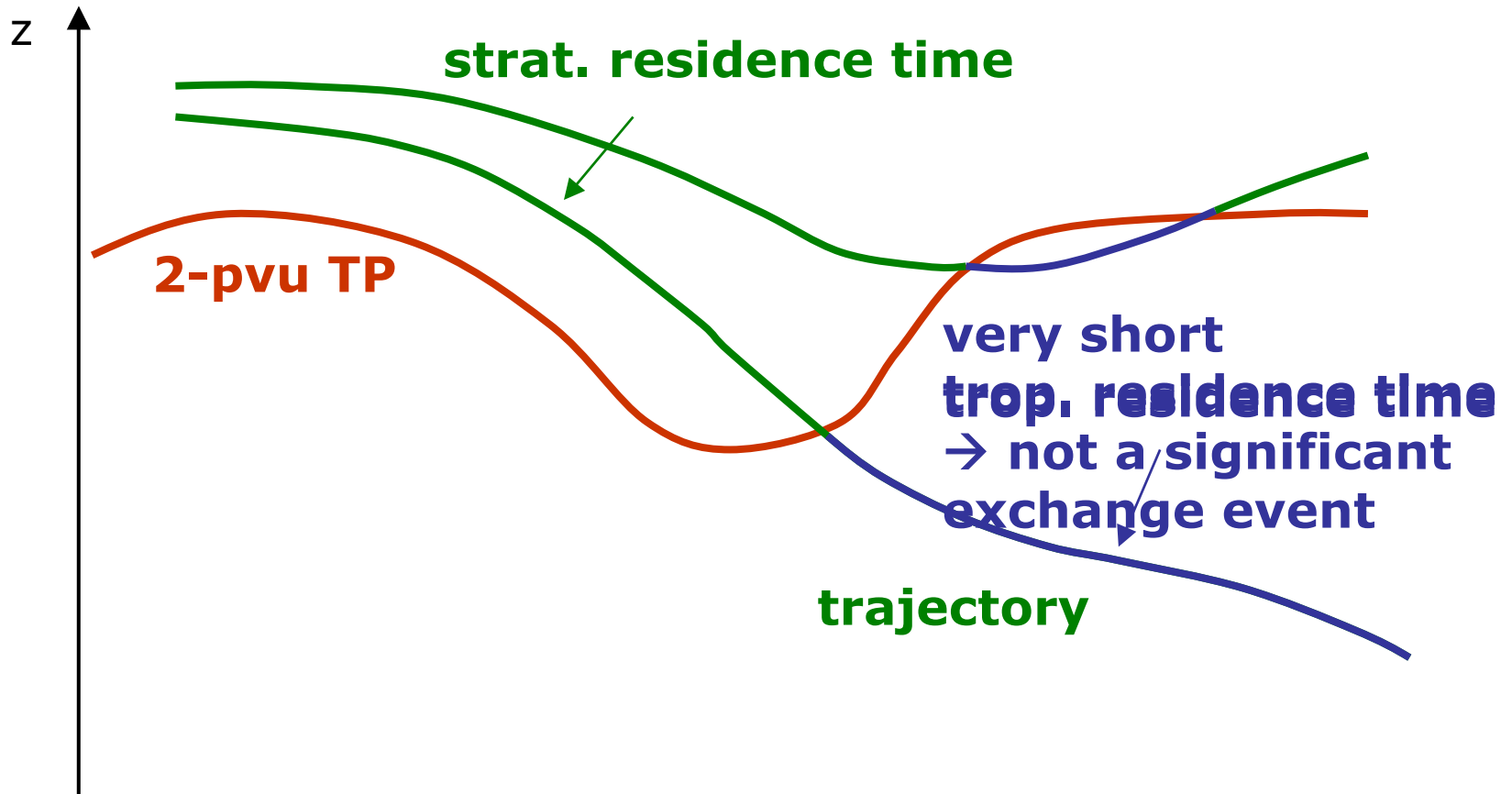
**aircraft *in situ* observations**

**Lagrangian**

**ERA15/40**

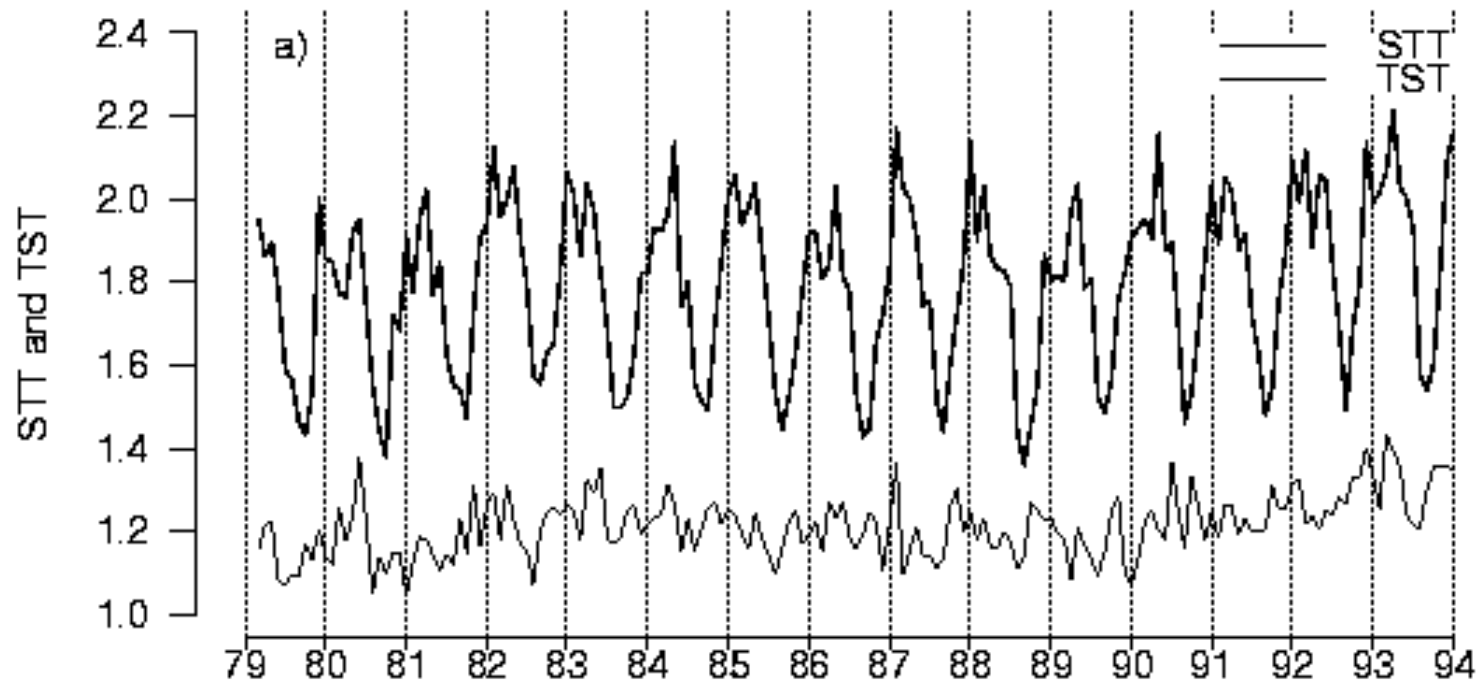


# The Lagrangian approach



# ERA15 climatology

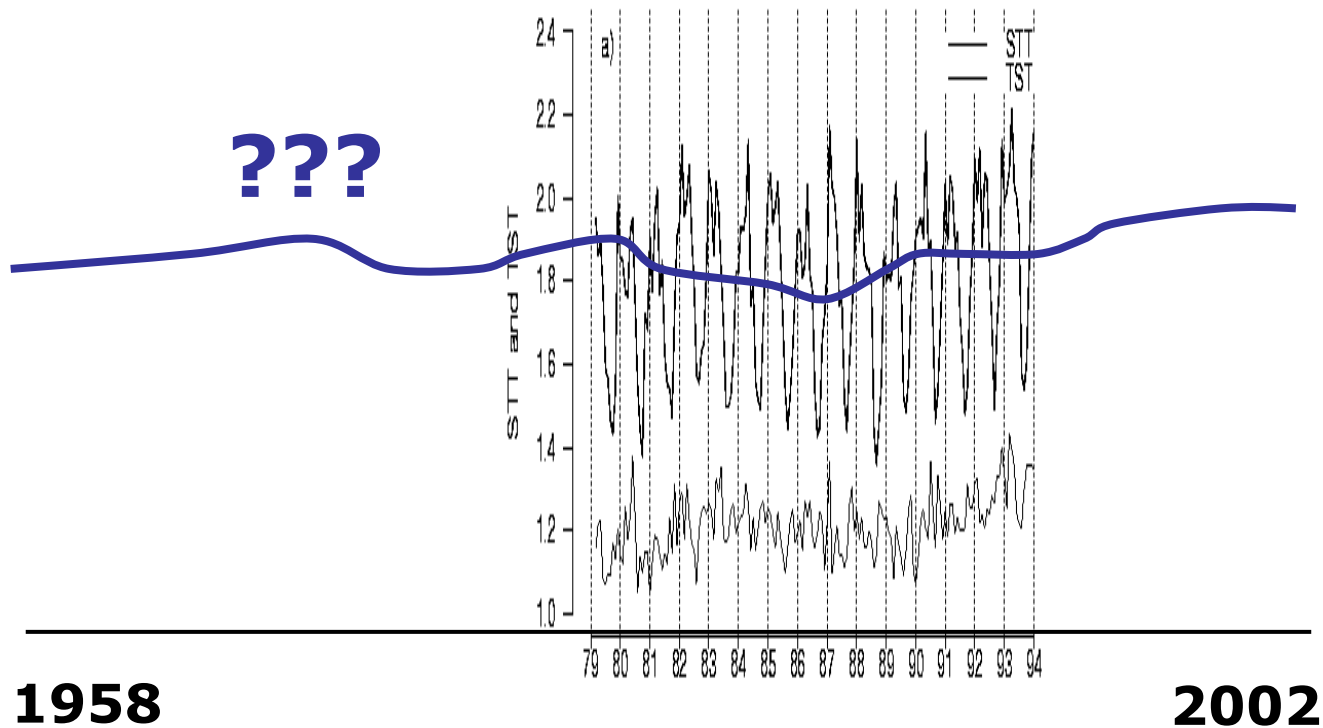
## NHEM STT and TST mass flux



Sprenger and Wernli 2003 (JGR)

# ERA40 climatology ?

## NHEM STT and TST mass flux

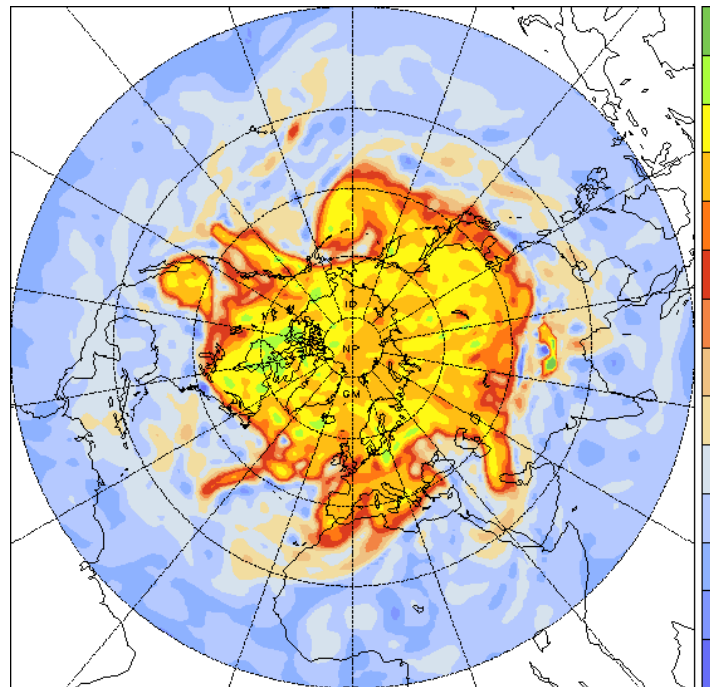


# ERA15 vs. ERA40

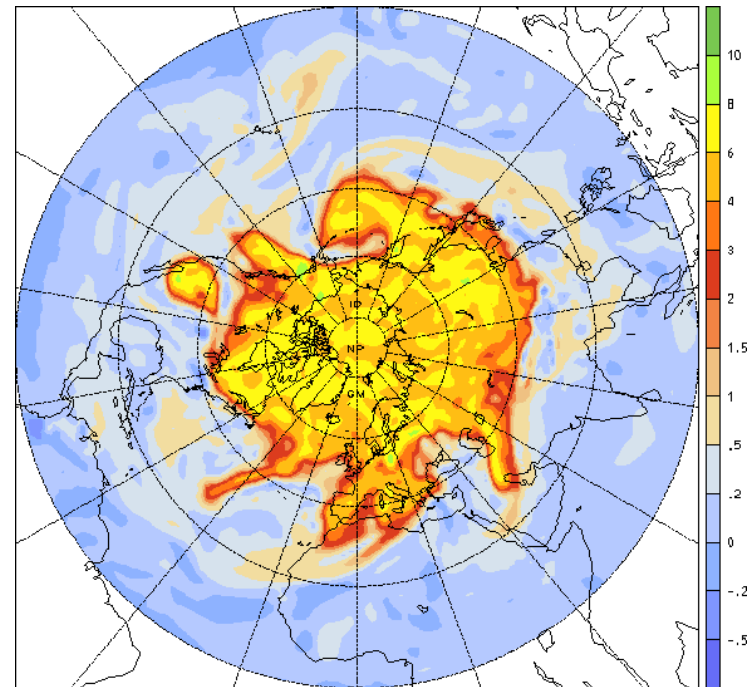
**ERA15: T106 L31 optimum interpolation**

**ERA40: T159 L60 3D var**

**PV on 320 K: 00 UTC 19 Jan 1990**



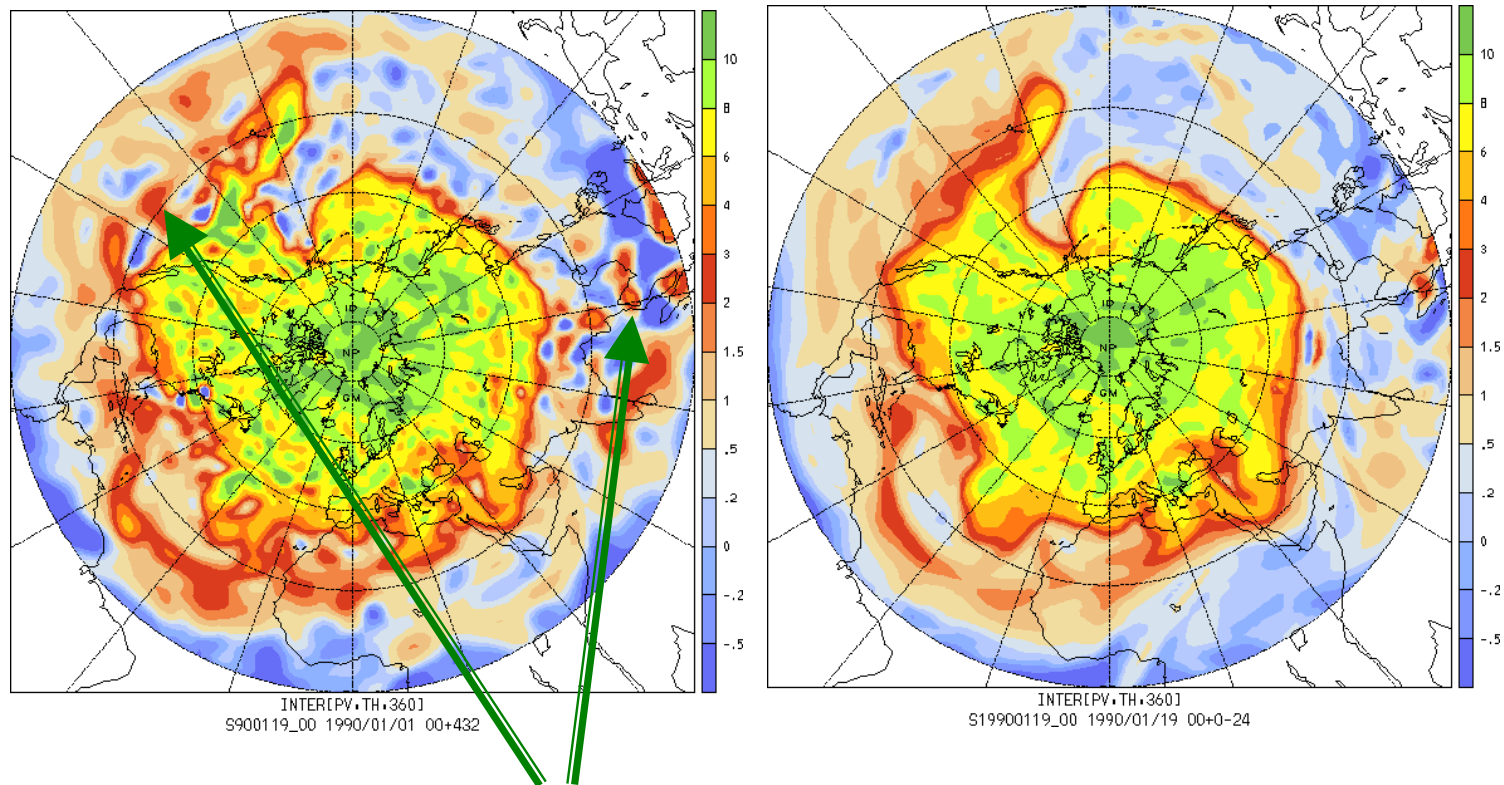
INTER[PV,TH,320]  
S900119\_00 1990/01/01 00+432



INTER[PV,TH,320]  
S19900119\_00 1990/01/19 00+0-96

# ERA15 vs. ERA40

PV on 360 K: 00 UTC 19 Jan 1990

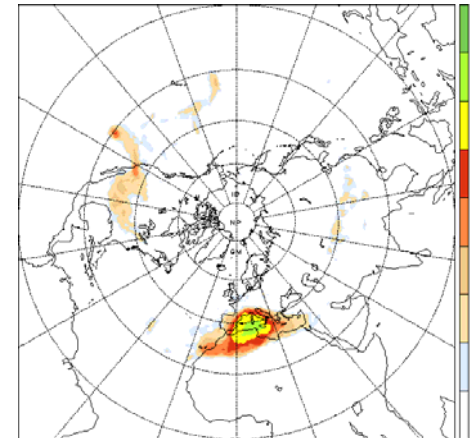
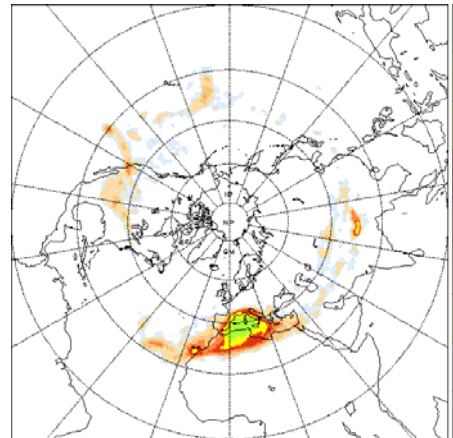


**„blobby“ PV structures!**

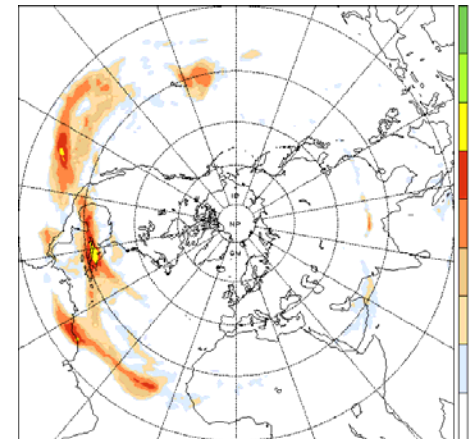
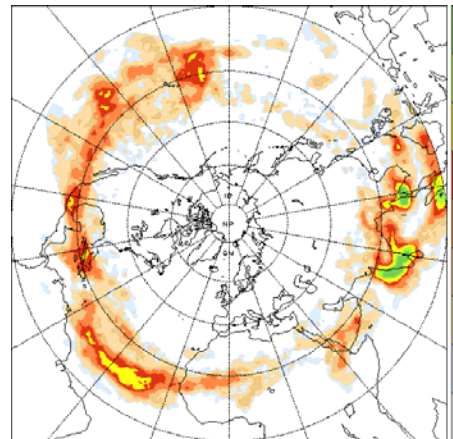
# ERA15 vs. ERA40

## Comparison of cut-offs with $PV > 2$ pvu

**320K**  
very similar

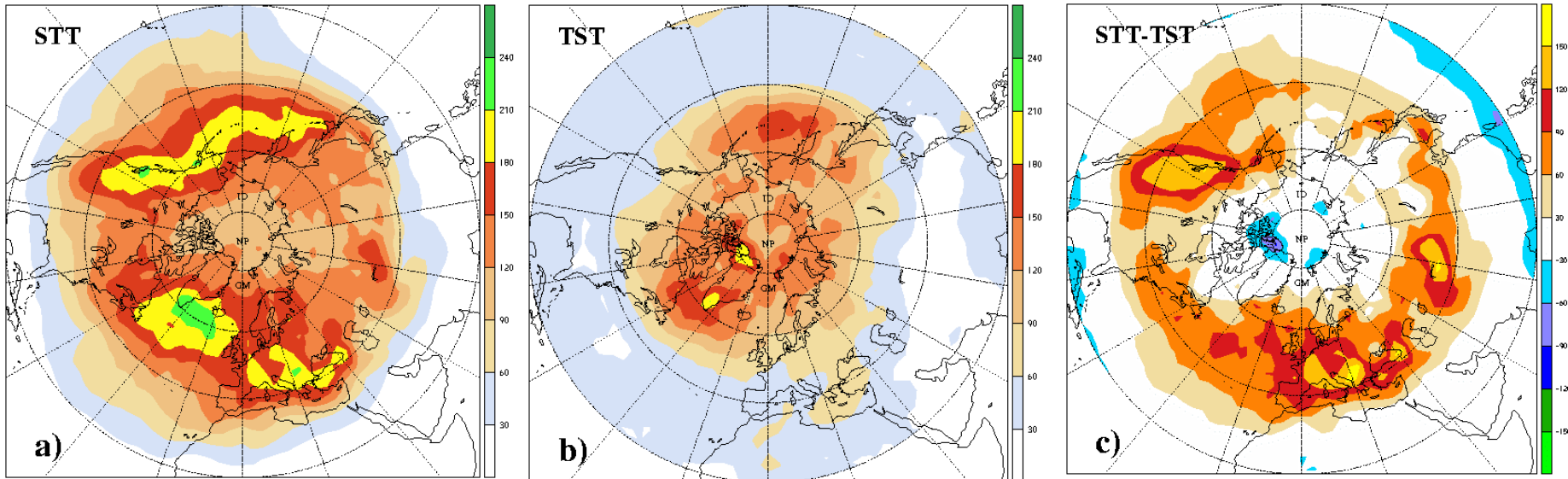


**360K**  
significant differences!



# ERA15 climatology

## Annual mean geographical distribution of mass fluxes



STT: large seasonal variability  
 maxima in mid-latitudes  
 weakly neg. in Arctic / subtropics

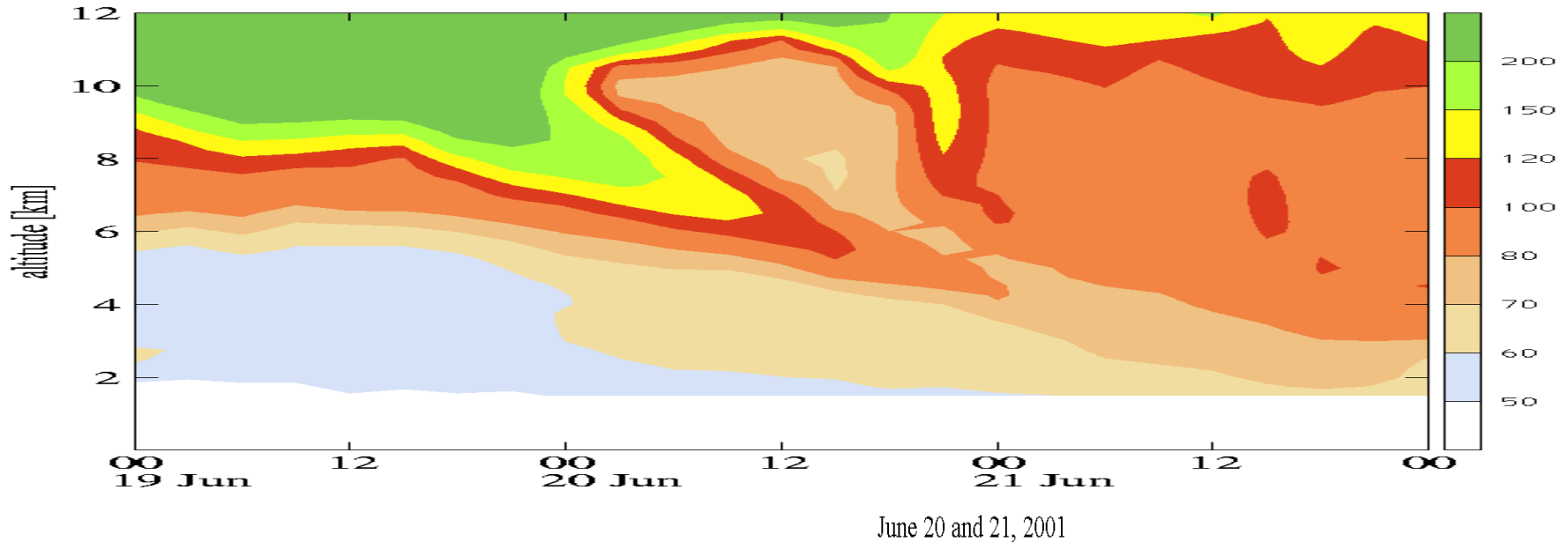
TST: less seasonal variability  
 maxima in mid-latitudes  
 maxima towards end of storm-tracks

Net (STT-TST): pos. in mid-latitudes  
 weakly neg. in Arctic / subtropics

Sprenger and Wernli 2003 (JGR)

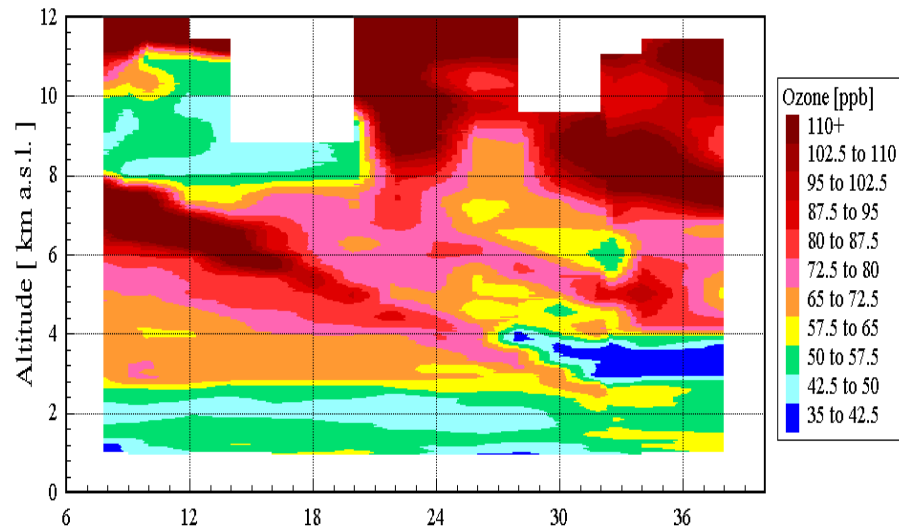
# ECMWF analyzed ozone field

4, 10



**Lidar observations  
Garmisch  
20/21 June 2001**

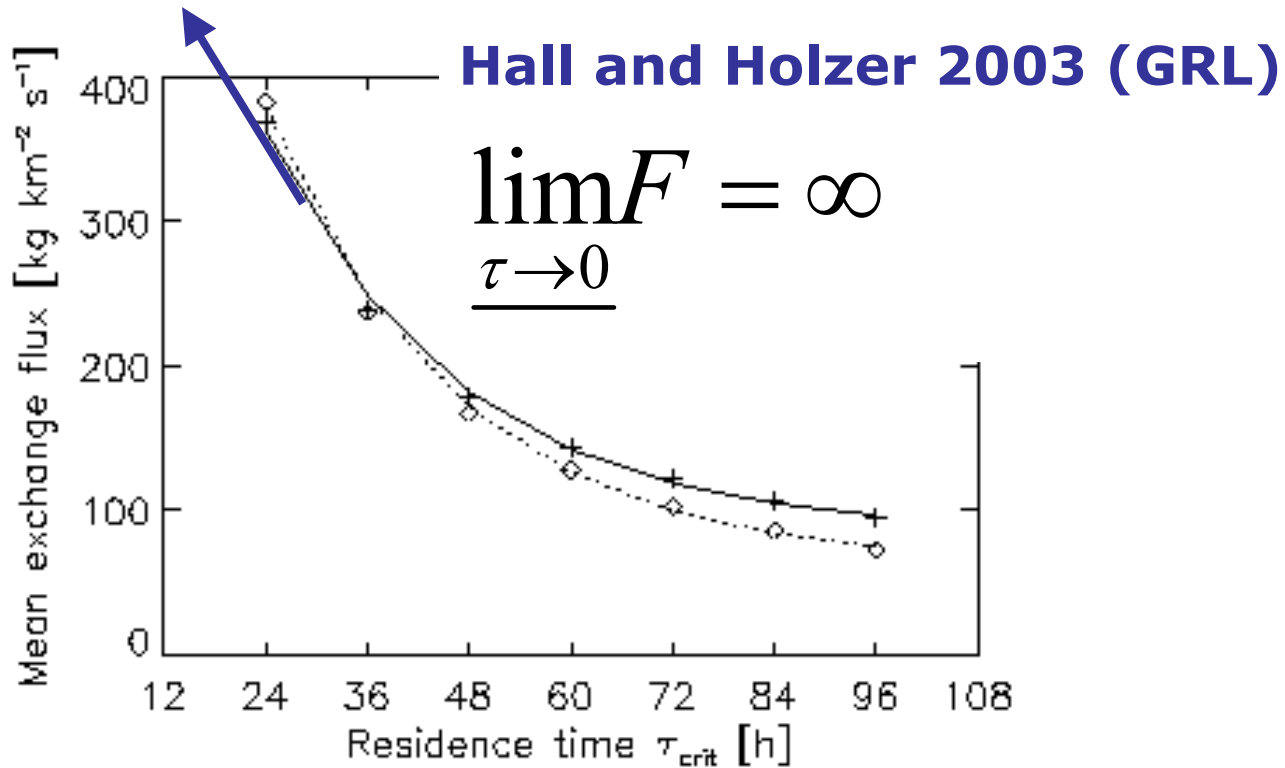
Zanis et al. 2003 (ACP)





# ERA15 climatology

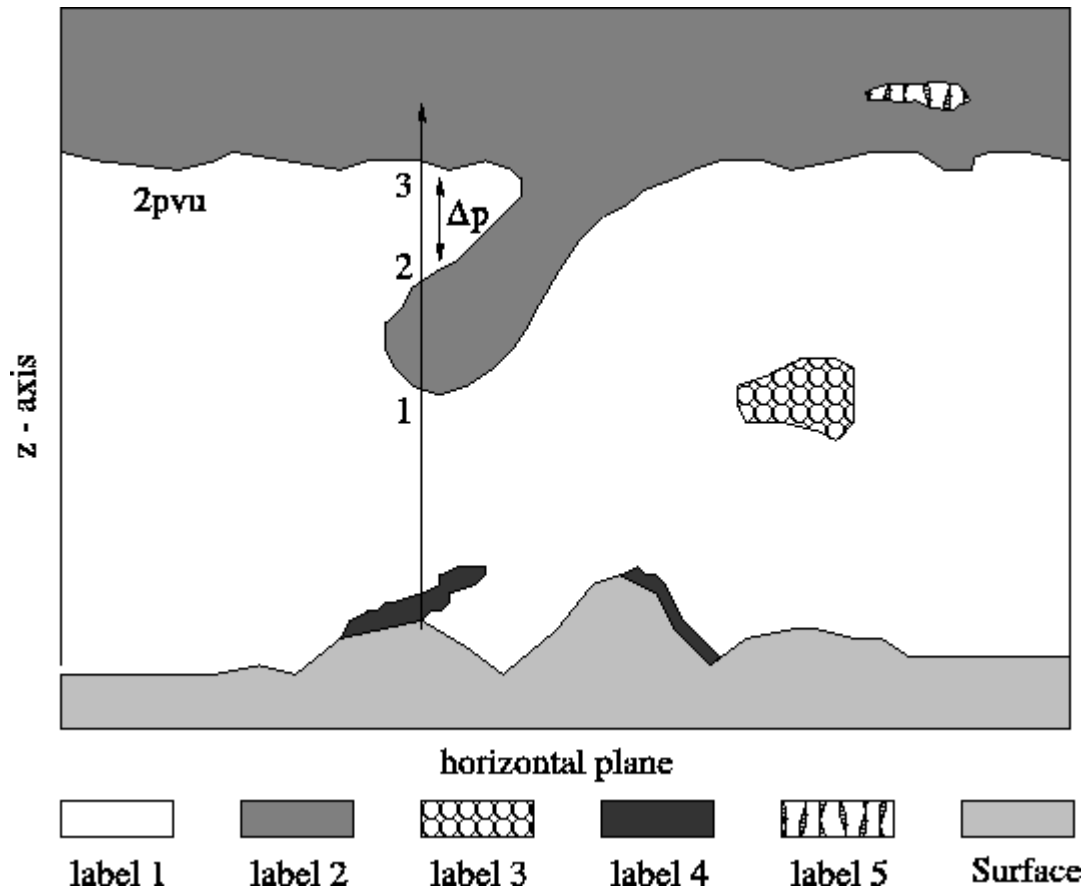
## Sensitivity to residence time threshold



Bourqui 2002

# The relevance of tropopause folds

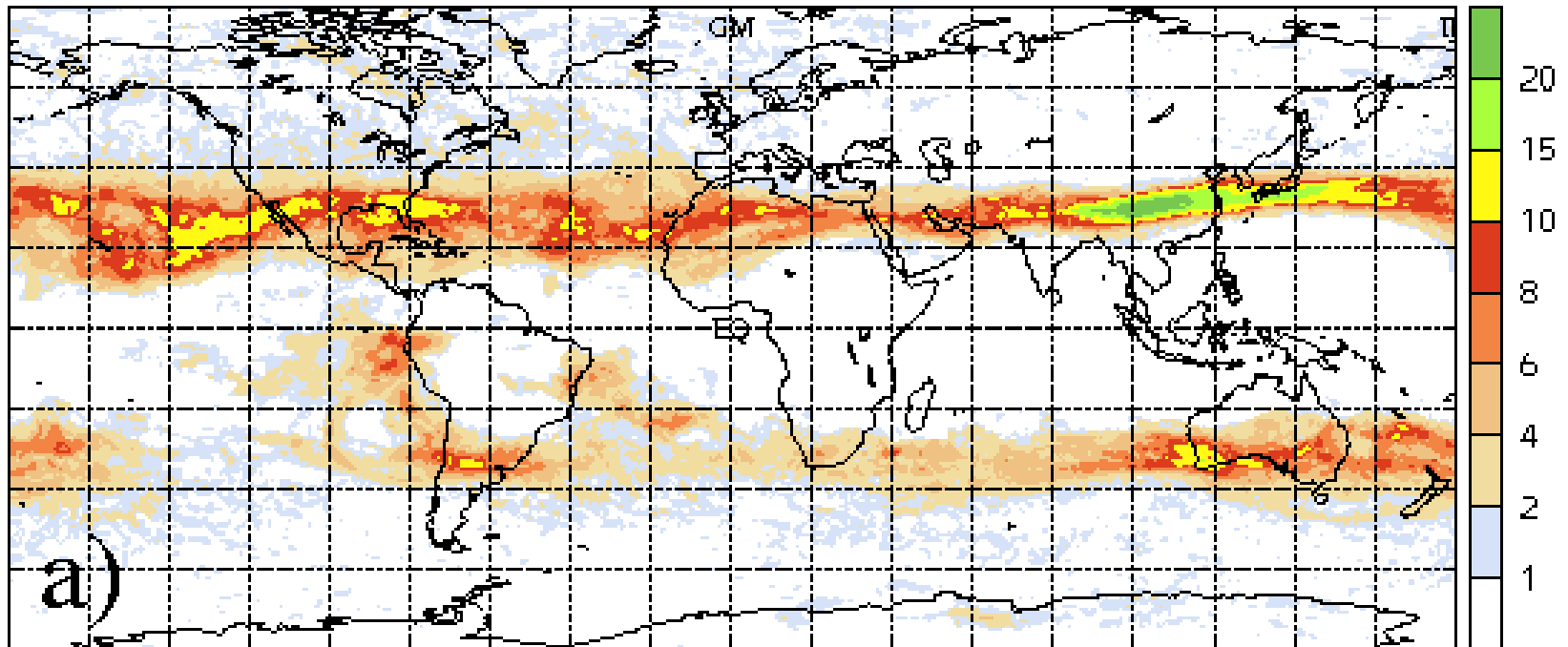
## Objective identification of folds



Sprenger et al. 2003 (JGR)

## The relevance of tropopause folds

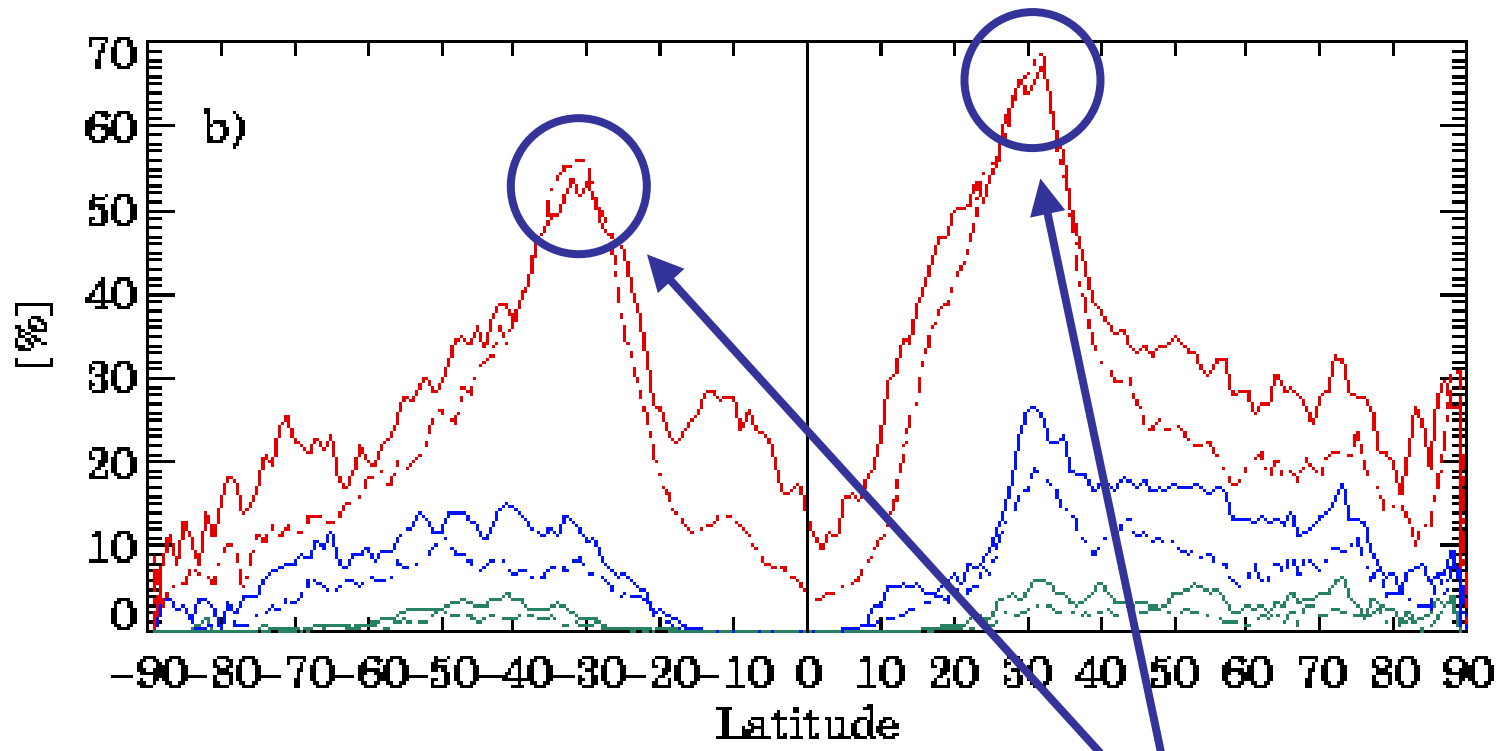
Frequency of tropopause folds during DJF 2000/01



Sprenger et al. 2003 (JGR)

# The relevance of tropopause folds

## Link between exchange events and TP folds

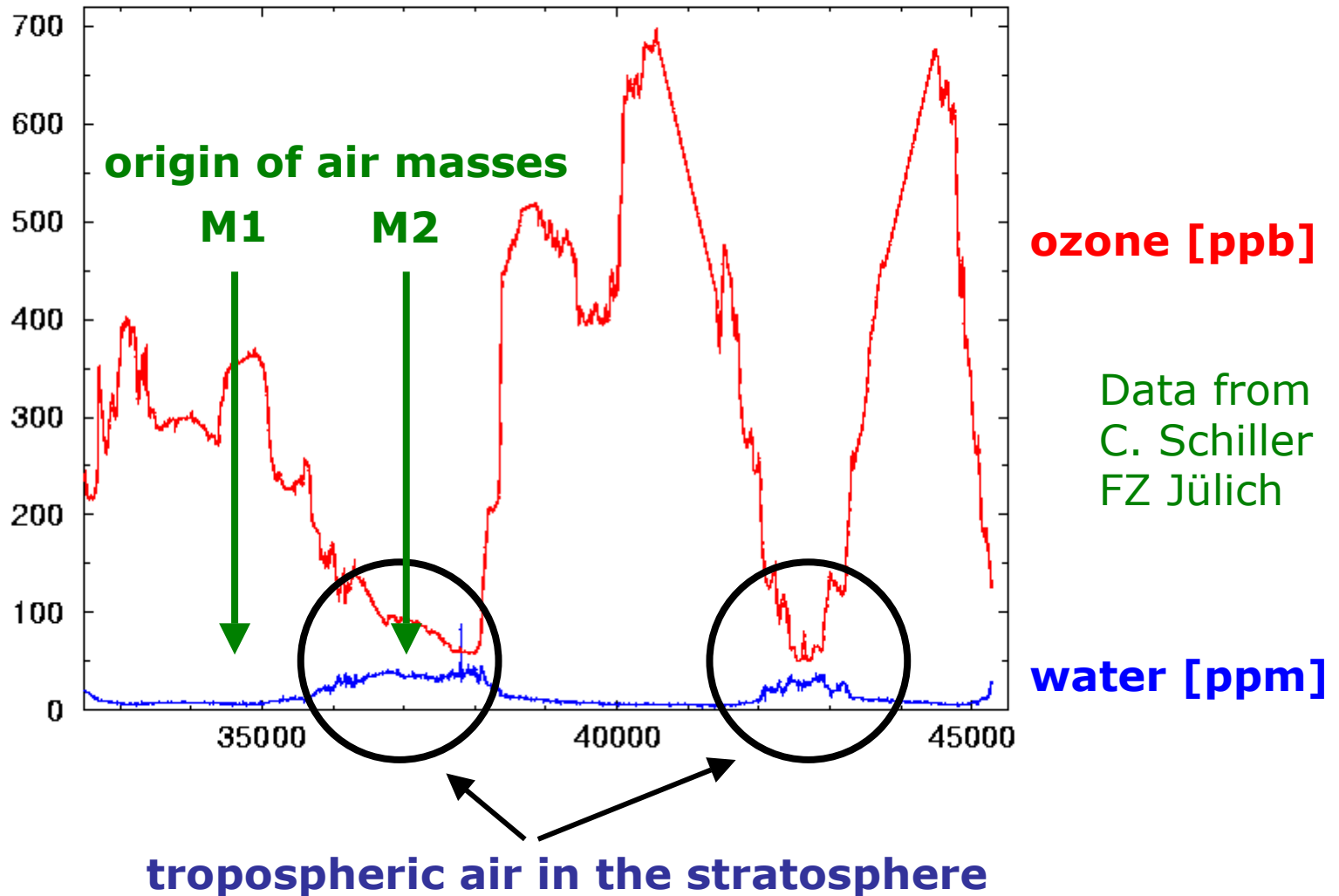


Sprenger et al. 2003 (JGR)

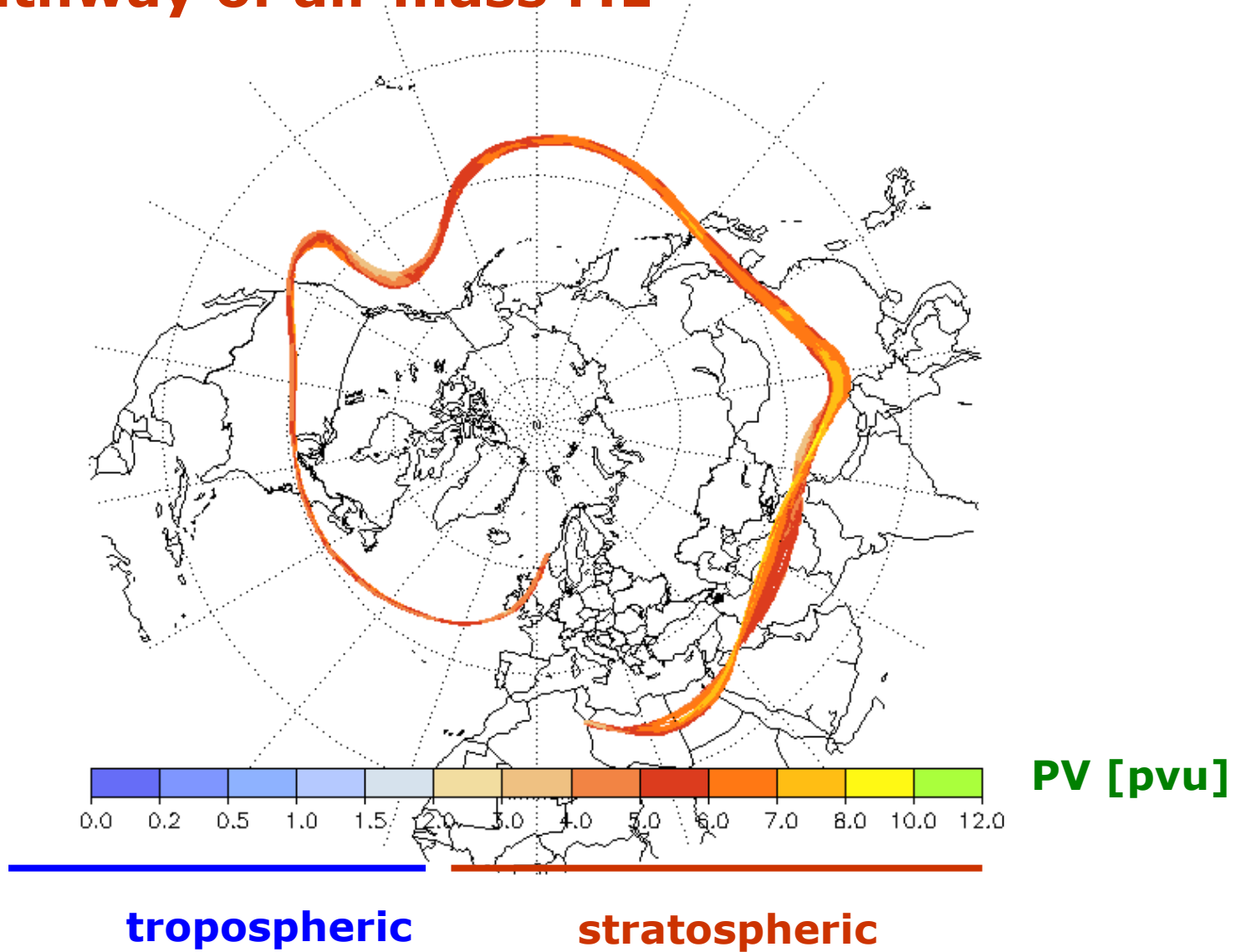
**in subtropics:  
50-70% of STE in folds**

# Aircraft observations in the stratosphere 10

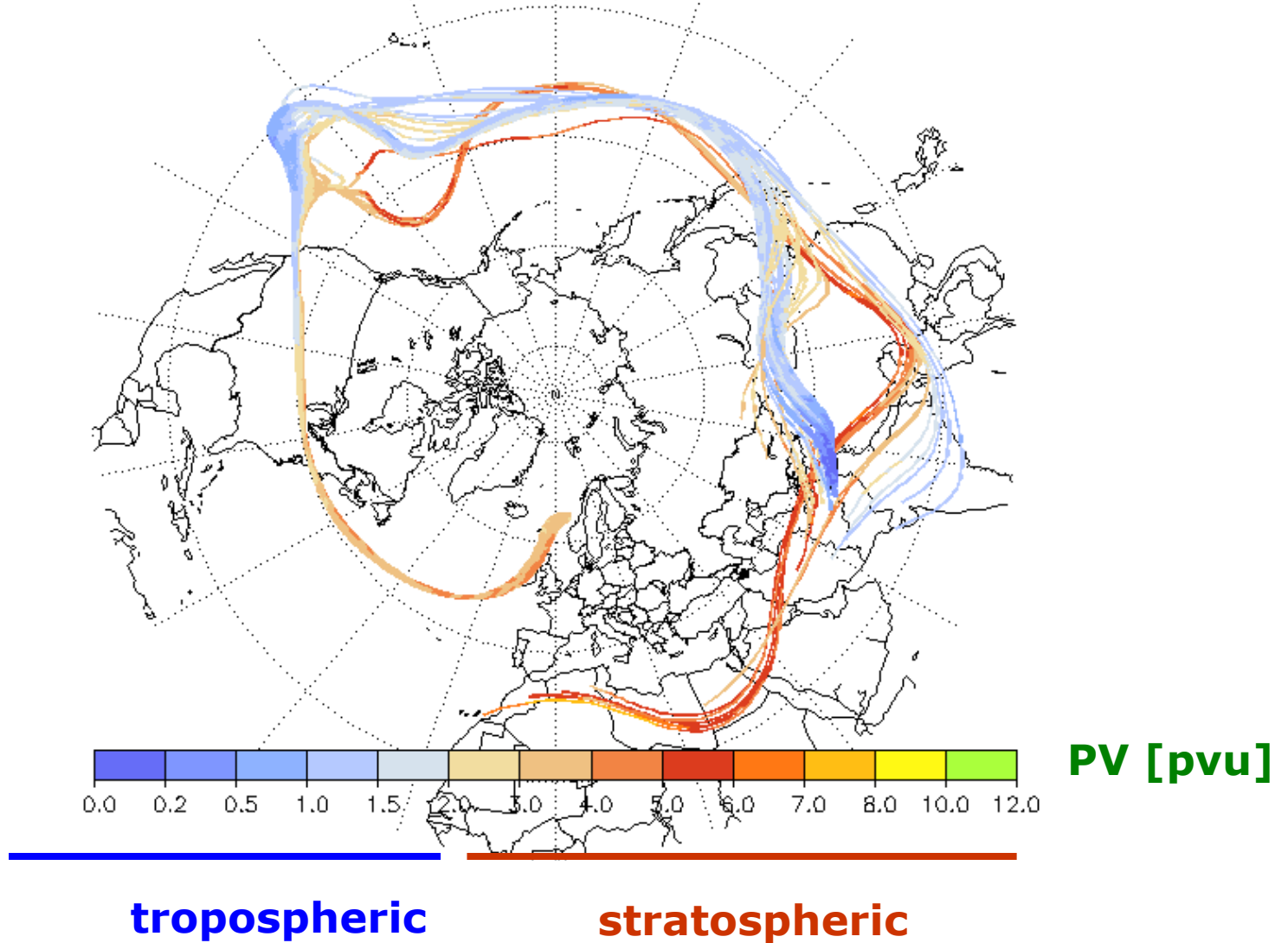
19 January 2002 (project SPURT)



# Pathway of air mass M1

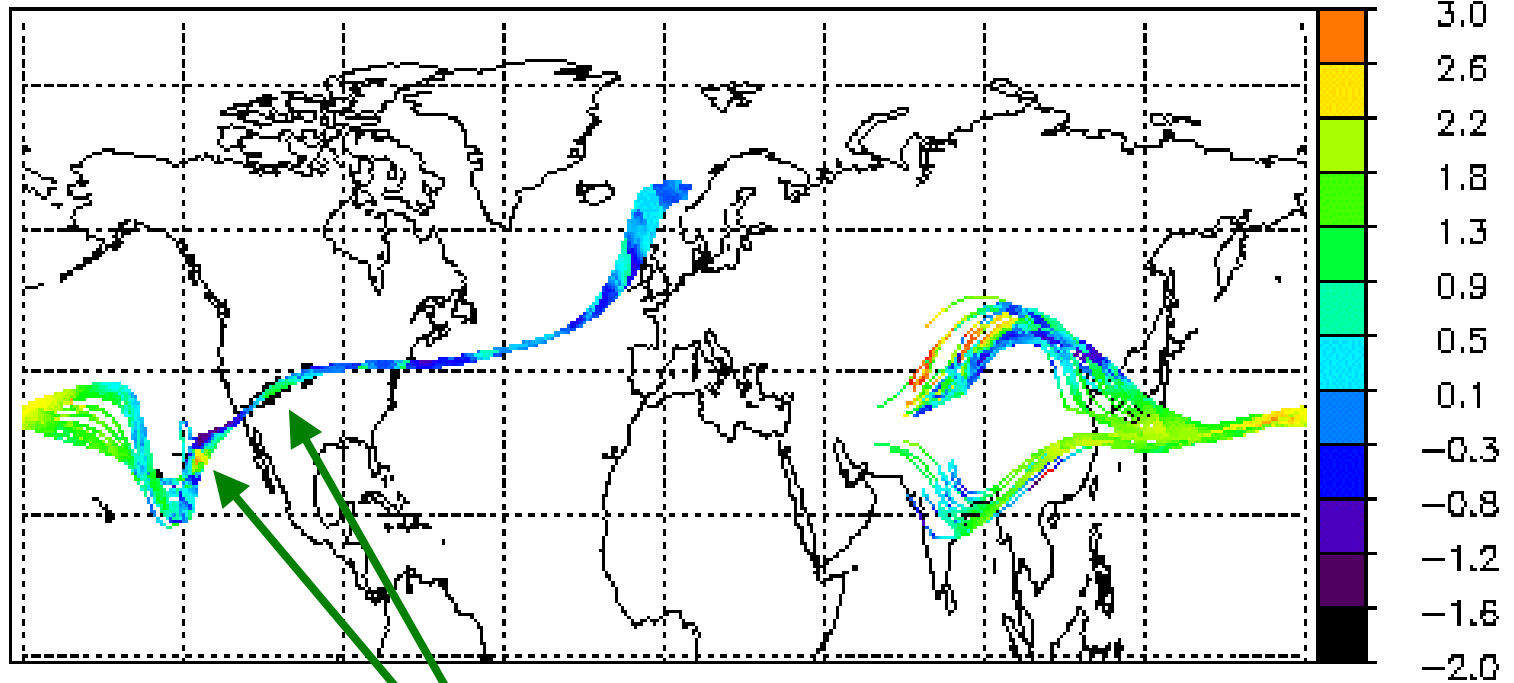


# Pathway of air mass M2



# ERA40 turbulence field

1,10

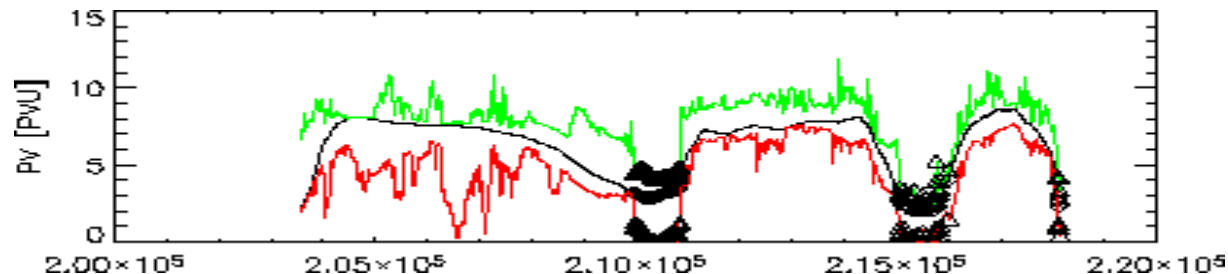


**TST associated with parameterized turbulence**

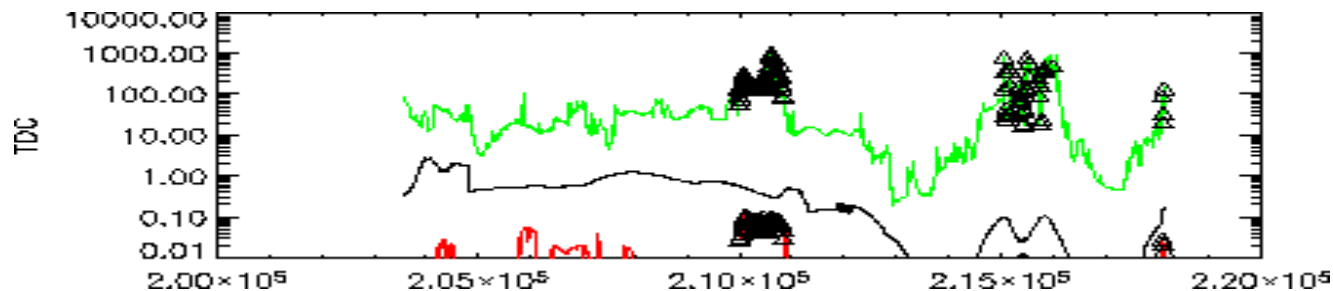
Peter Hoor



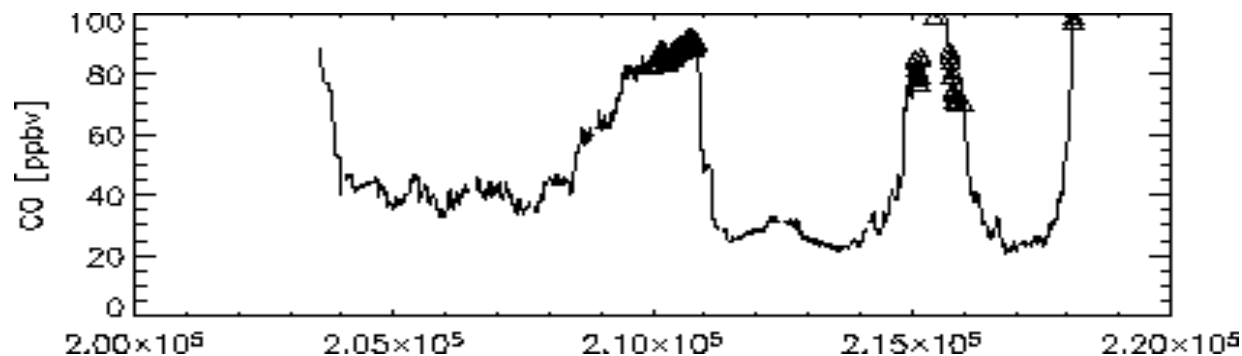
# Verification of diagnosed TST



PV along flight  
max / min  
along traj



turb along flight  
max / min  
along traj



measured CO

# Summary (1)

- (1) Mesoscale processes: ERA40 turbulence field gives useful information
- (2) Synoptic-scale structures: TP folds very important in sub-tropics, less in extra-tropics
- (3) Larger-scale processes: Qualitative agreement between maxima of STE and storm tracks
- (4) Quantification of mass fluxes: ~robust seasonal cycle and no trends for ERA15 period
- (5) Geographical distribution of exchange: large zonal asymmetries

# Summary (2)

(6) Transport pathways:

(7) Residence time: large sensitivity

(8) Method: Lagrangian approach

(9) Data: significant differences ERA15 vs. ERA40 near TP

(10) Verification: fruitful combination of diagnostics based upon ECMWF analyses and in-situ observations