



# Towards operational earth system assimilation: challenges and priorities

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# Main purpose of this presentation

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- Provide a summary of key challenges and future directions on earth system assimilation (discussed during this seminar)
- Illustrate some of them with examples from ongoing developments at Météo-France in the framework of short-range weather forecasting and limited-area modelling

# Outline of the presentation

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- Introduction
- Challenges and priorities seen from the coupling with :
  - Continental and oceanic surfaces
  - Ocean and sea-ice
  - Atmospheric composition
- Final conclusions

# The different components

Stratosphere/mesosphere/ionosphere

Atmospheric composition:  
gases and aerosols

Troposphere:  
 $N_2$ ,  $O_2$ ,  $H_2O$ ,  
 $CO_2$ ,  $O_3$

Atmospheric composition:  
hydrometeors

Ocean waves

Sea-ice

Continents

Ocean

Hydrology

# Continental surfaces

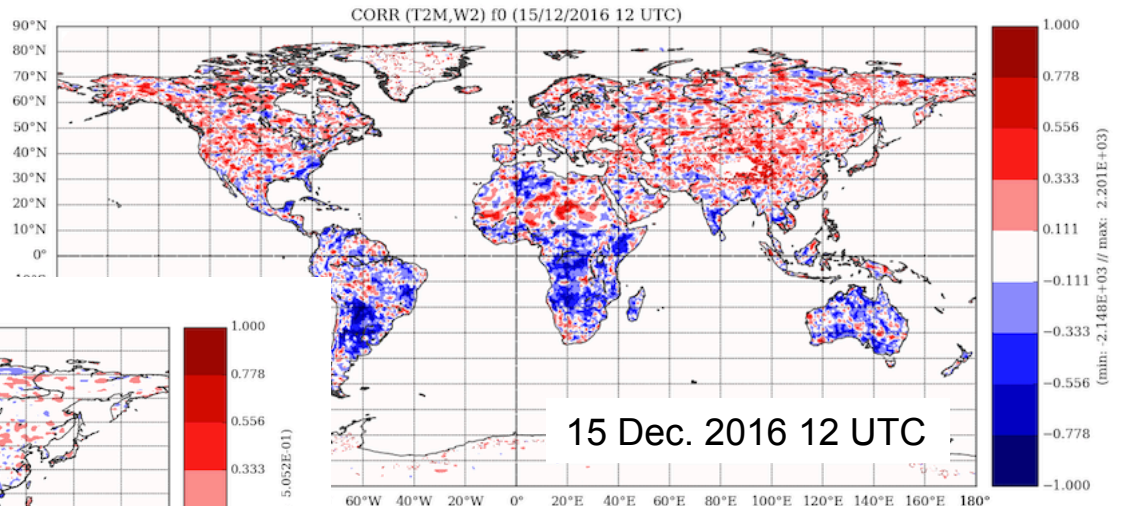
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- Prognostic variables for the « soil/vegetation/snow » have been included for a long time in NWP models (atmospheric/surface coupling)
- The need for having dedicated analyses has been recognized since the 90's (issues with either climatology or free-runs)
- Current land surface assimilation schemes use a weak coupling DA approach
- Current challenges: simpler assimilation schemes than in the atmosphere, analyses performed at full model resolution, non-linearity issues, new surface types (urban areas, lakes) requiring dedicated observations
- Ongoing evolutions: use ensemble DA for the surface, use information from « atmospheric » observations in surface analyses

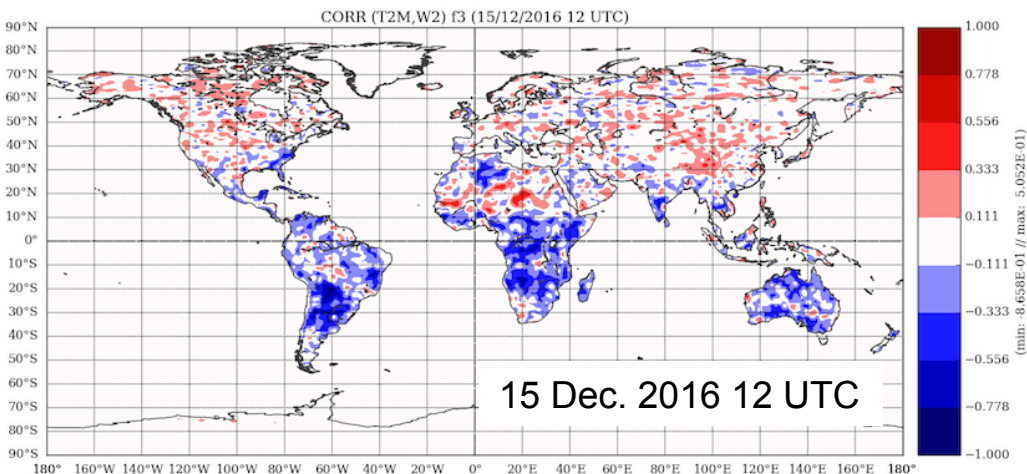
# Use of atmospheric EDA

- $\Delta x = \text{cov}(x^b, y^b)(\text{cov}(y^b, y^b) + \text{cov}(y^o, y^o))^{-1} \Delta y$
- Compute the gain of a Kalman filter from an EDA system (where the atmosphere and continental surfaces are coupled)
- Replace simple analytical OI coefficients or Jacobians in finite differences

Raw (AEARP 25)  
cor ( $T_{2m}, W_2$ )



Filtered  
cor ( $T_{2m}, W_2$ )



# Coupling of atmospheric and surface analyses

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## ATMOSPHERE

- Assimilation of IR and MW channels sensitive to the surface over continents and sea-ice
- Skin temperature ( $T_s$ ) from the model is not accurate enough
- Need to:
  - Retrieve  $T_s$  from emissivity atlases (IR)
  - Retrieve  $\varepsilon$  using  $T_s$  from model (MW)
  - Use  $T_s$  as a sink variable in the assimilation process
- None of this information is used for surface analyses

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## CONTINENTAL SURFACES

- Surface and soil temperatures are corrected over continents using spatialized  $T_{2m}$  observations
- Use information provided from the adjustment of  $T_s$  for atmospheric assimilation in the soil (and sea-ice) analyses
- Need to separate systematic (model) and random errors -> identification of bias origin
- Need to define a methodology in order to keep the signal in the soil ( $T_s$  has small memory)
- Use of  $\varepsilon$  retrievals to get additional information on surface properties



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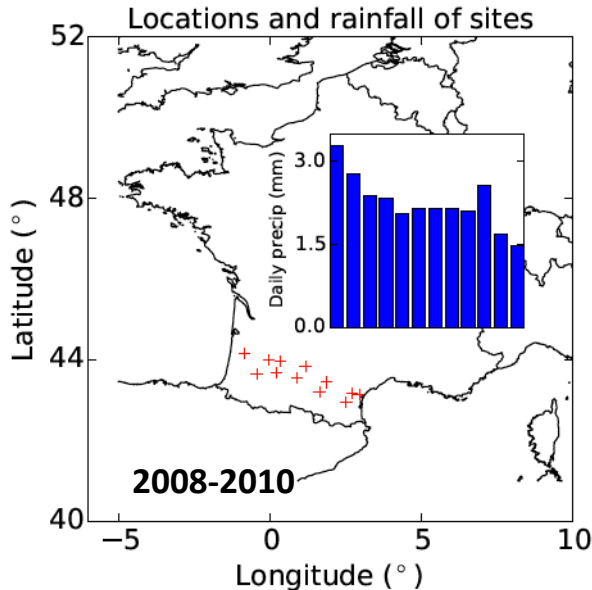
Importance of synergy between spectral domains

# Non-linearity issues (soil/vegetation)

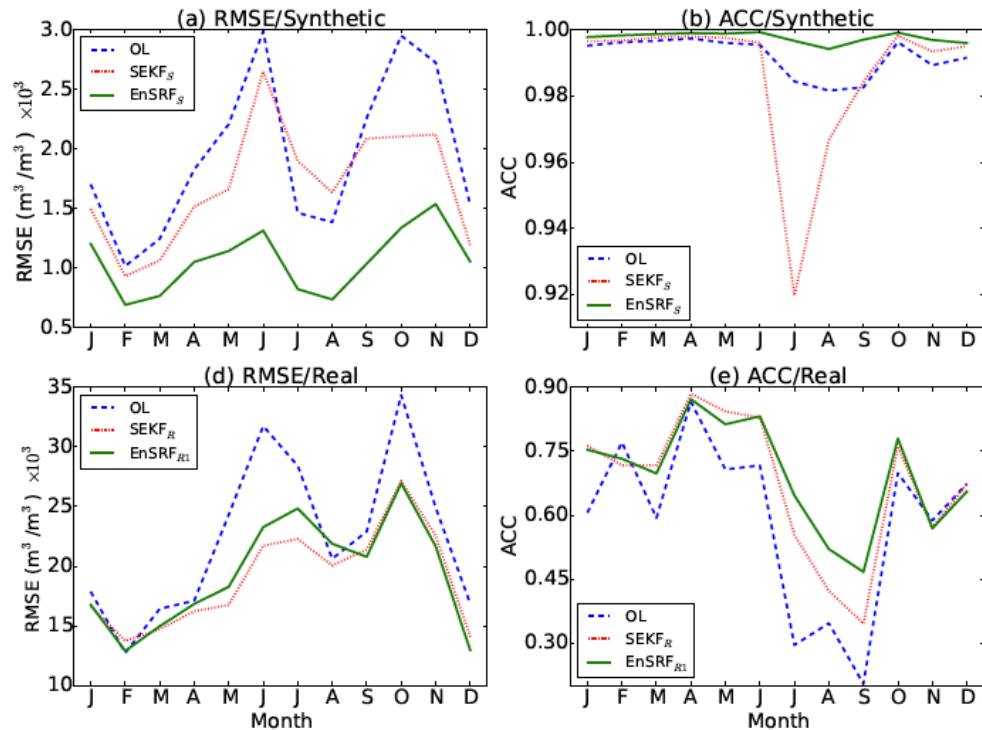
## ISBA-3L

- Assimilation of WG1
- Evaluation of WG2

Fairbairn et al. (2015) HESS



OL - SEKF - EnSRF



Synth.  
obs

Real  
obs

- SEKF and EnSKF are both affected by the non-linear behaviour of the land surface scheme.
- Ensemble approach => improved consistency with atmospheric DA

# Non-linearity issues (snow)

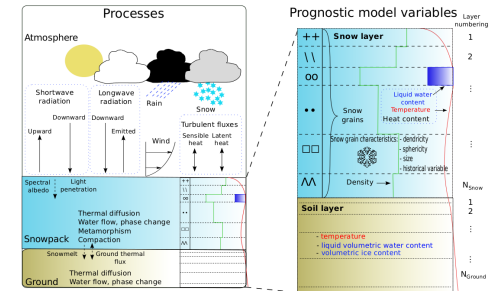
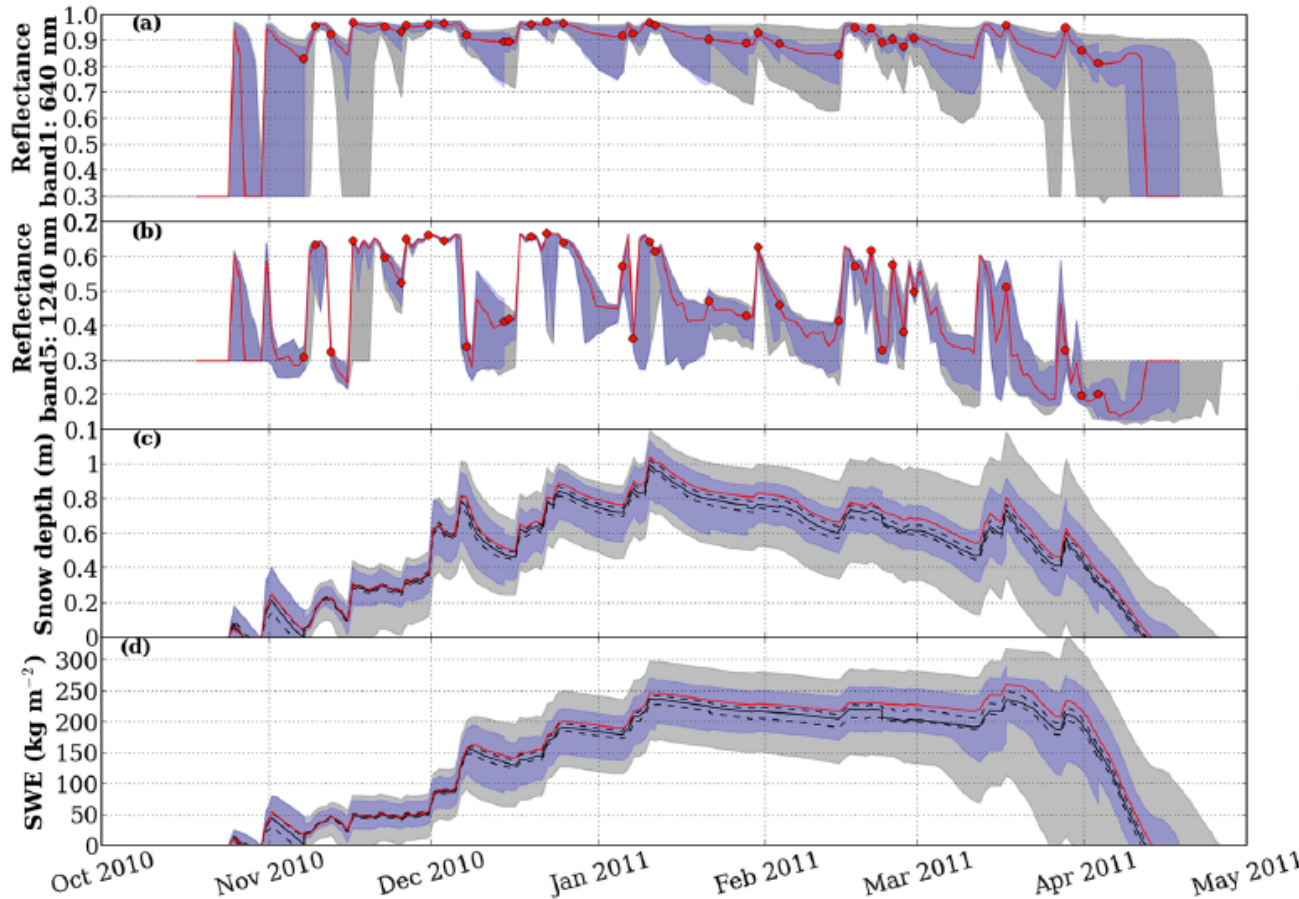


Fig. 1. Main physical processes and model variables.

## CROCUS

- NO ASSIMILATION
- ASSIMILATION

*Charrois et al. (2016)*

Use of a **SIR** particule filter to assimilate « simulated » MODIS reflectances in a multi-layer (variable) snow model (+RT model)

# Model biases in the hydrological context

Can assimilation of soil moisture and LAI improve river discharges ?

Fairbairn et al.  
(2017) HESS

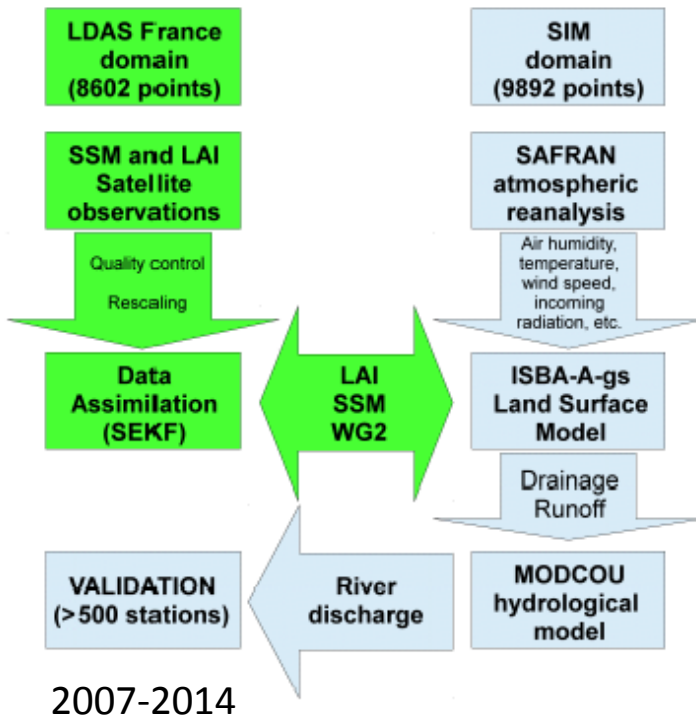
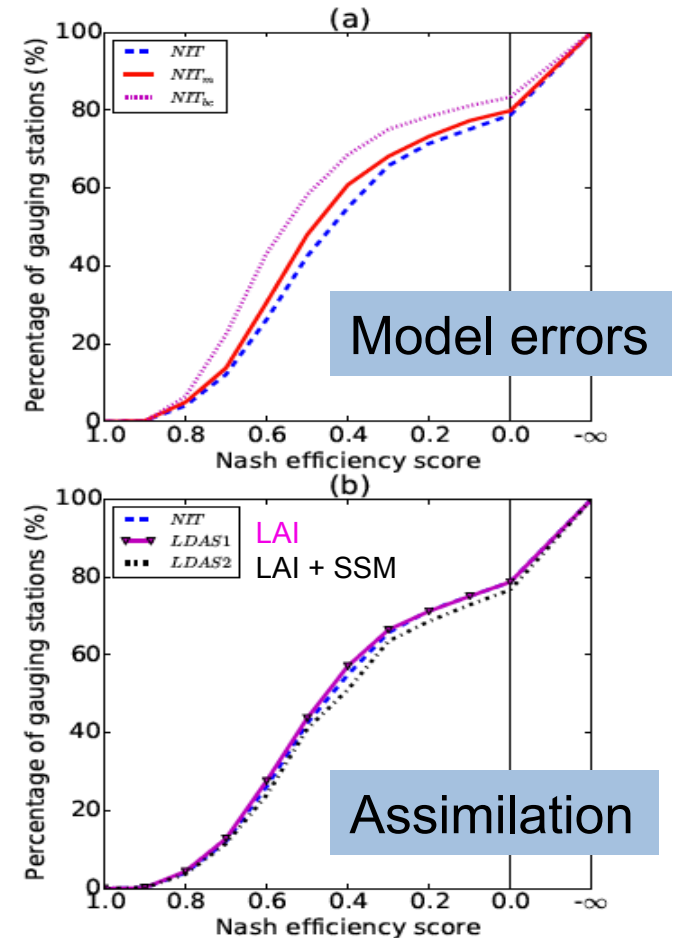


Figure 1. Flowchart of the SIM hydrological model and how LDAS France is connected with SIM.



Hydrological constraints (additional observations) can highlight land data assimilation issues -> model errors, forcing errors, non-linearities

# Oceanic surfaces

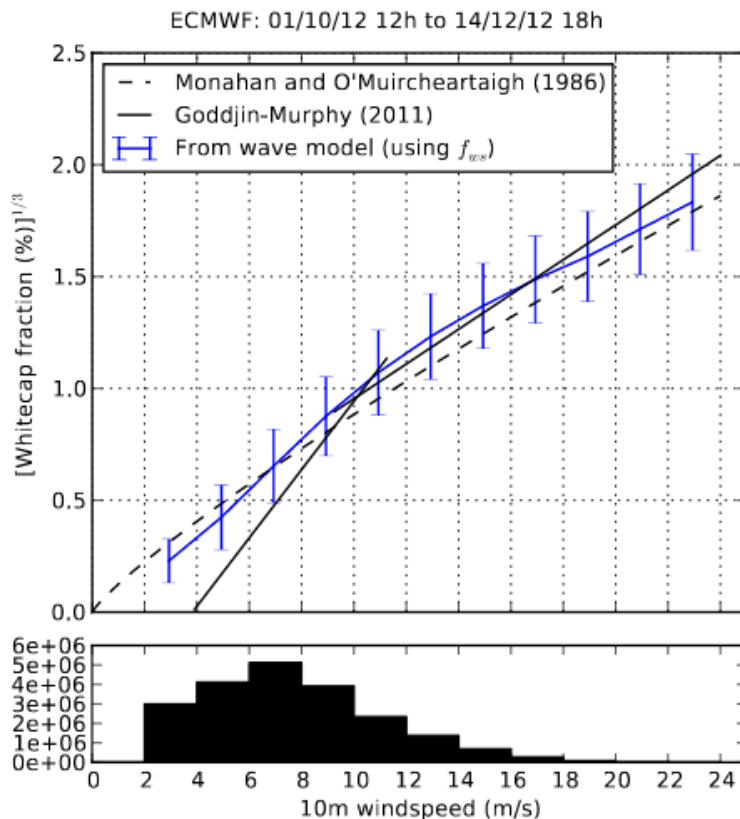
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- Ocean wave models are run operationally in NWP centres with some level of coupling with atmospheric models (e.g. ECMWF: turbulent fluxes depend upon sea state)
- Current challenges: simpler assimilation schemes than in the atmosphere
- Ongoing evolutions: use information from wave models (sea state), ocean models (surface currents) and from satellite instruments (SAR, altimeters) in atmospheric observation operators (microwave emissivities, backscatter coefficients, surface wind speed) ; need for improved observation operators

# Surface emissivity over oceans

## Surface emissivity

$$\epsilon = (1-W)\epsilon_{\text{water}} + W\epsilon_{\text{foam}} \text{ with } \epsilon_{\text{water}} \sim 0.5 \text{ and } \epsilon_{\text{foam}} \sim 1$$



White cap fraction  $W$ :

(1)  $W = W(U_{10m})$  FASTEM

(2)  $W = -\rho_a m X / \gamma \rho_w E_*$

$m$  = normalised flux from waves to ocean

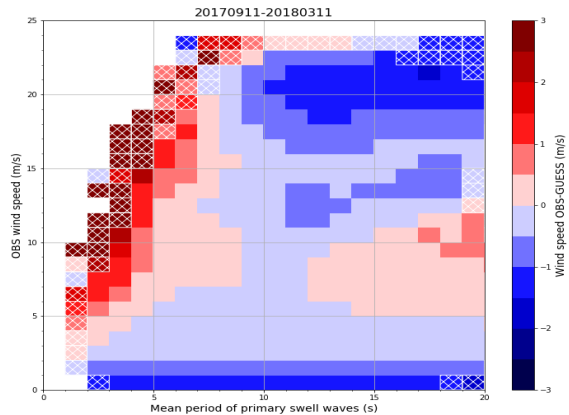
$X$  = wave age

$E_*$  = dimensionless wave variance

Use information provided by a wave model (ECWAM) in order to better simulate microwave  $T_b$ s over oceans

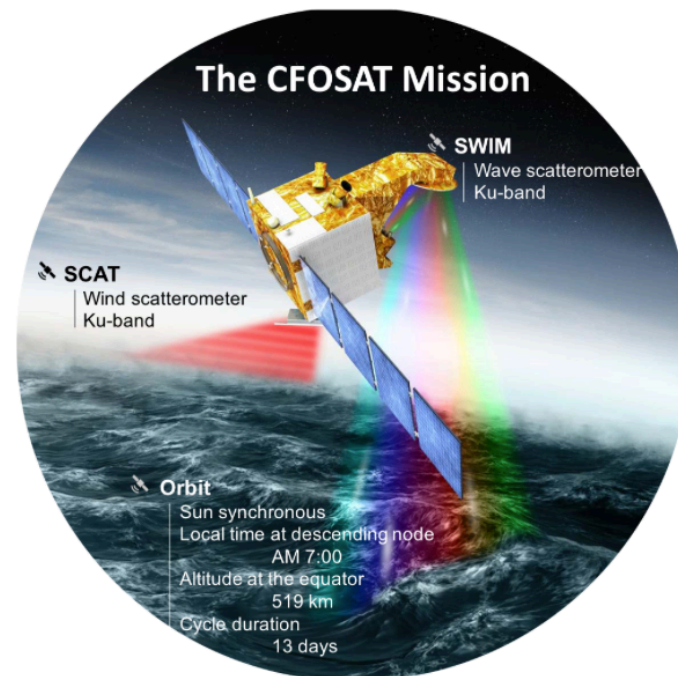
# Scatterometer winds and sea state

- On the use of wave model information for improving the assimilation of scatterometer wind
- Synergy between instruments -> CFOSAT mission (SCAT + SWIM)
- Towards improved GMF for surface wind retrievals – assimilation of  $\sigma^0$



10-m wind bias (ScatSat-1 minus ARPEGE) dependency with the mean period of primary swell waves from MFWAM (09/2017 -> 02/2018)

*A.-L. Dhomps (personal communication)*



# Ocean and sea-ice

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- Coupled models (atmosphere/ocean/sea-ice) developed for climate applications are now used in NWP for medium to seasonal forecast ranges (including reanalyses)
- DA systems are rather similar between the atmosphere and the oceans (same equations, developments made in parallel)
- Most efforts on coupled DA are undertaken in this area
- Evolution from weak to strong coupling DA (outer loop coupling)
- Level of complexity required for short-range weather forecasting ?
  - 1D mixed layer ocean: simple in terms of modelling and data assimilation (use of similar methods as for continental surfaces with SST observations)
  - Full 3D ocean: more realistic but requires more complex DA methods (-> analyses produced by an external centre ?)
- Similar questions for sea-ice modelling (1D thermodynamics vs 3D dynamics)



# Atmospheric composition: hydrometeors

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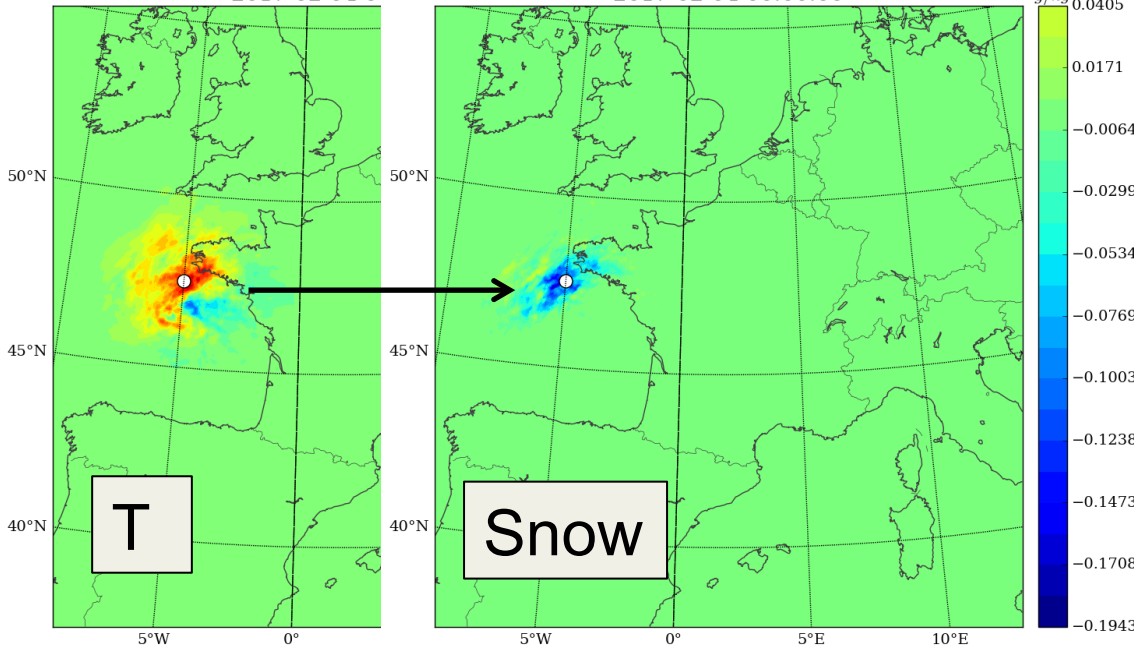
- Nowadays NWP models include hydrometeors as prognostic variables (various levels of complexity : global -> mesoscale)
- Currently no dedicated analyses in operational context despite the assimilation of observations sensitive to clouds (« all-sky » radiances, radar reflectivities)
- Present solutions: diagnostic cloud and precipitation schemes in observation operator, 2-step approach with 1D inversion first
- Extension of the control vector: need for a dedicated background error covariance matrix, gaussianity issues (thresholds, non-linearities)
- Need to evaluate which variables require a dedicated analysis (equilibrium time scales, evolution of cloud microphysics in NWP models)
- Interest in addressing these issues using ensemble assimilation systems

# Extension of the control vector in EnVar

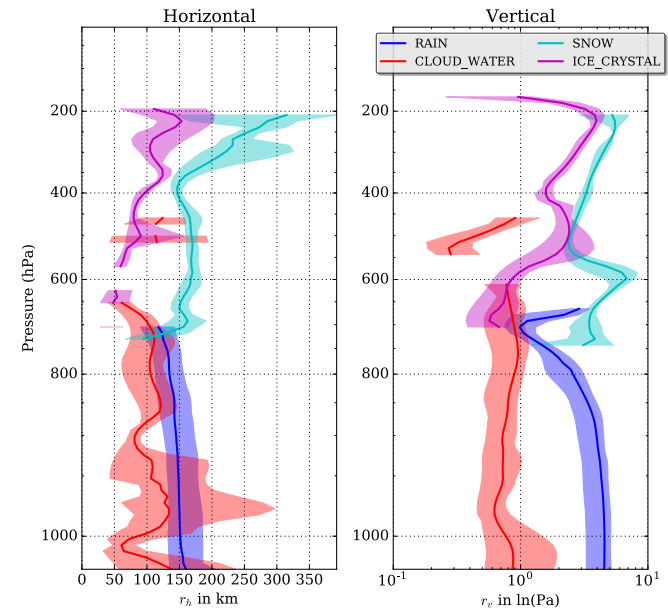
- Hydrometeors in the control vector of a 3D-EnVar AROME system (with OOPS)
- Cross-correlations from the ensemble between  $(T, u, v, q_v, P_s)$  and  $(q_l, q_i, q_r, q_s, q_g)$
- Need of a scale dependent localisation for each variable

Localized T increment  
2017-02-04 00:00:00

Localized snow increment @920hPa  
2017-02-04 00:00:00



Optimal Localization lengths

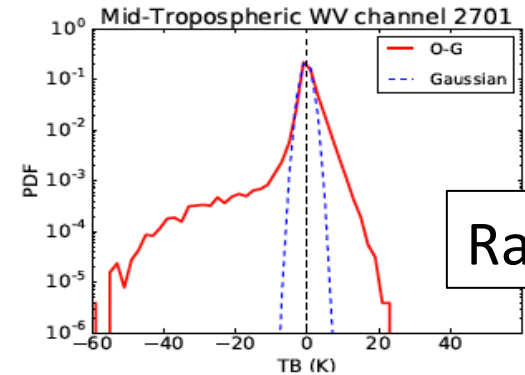
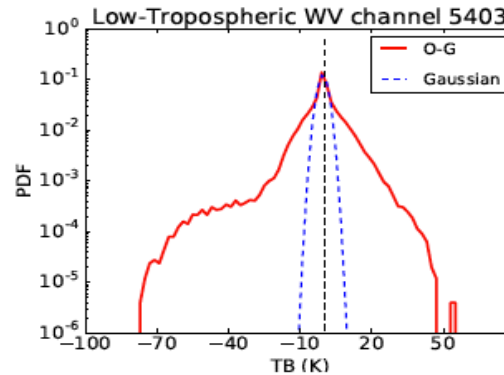
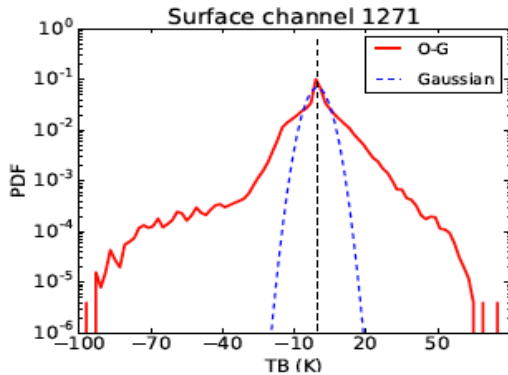


Single observation experiment T@925 hPa

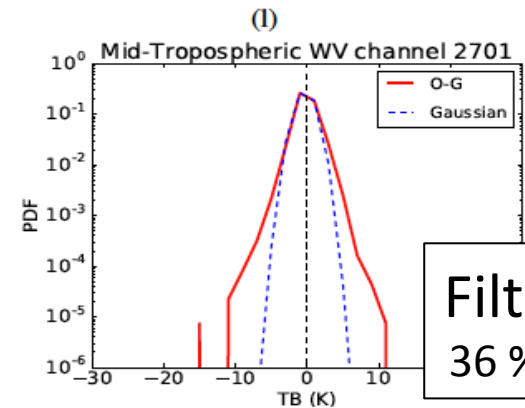
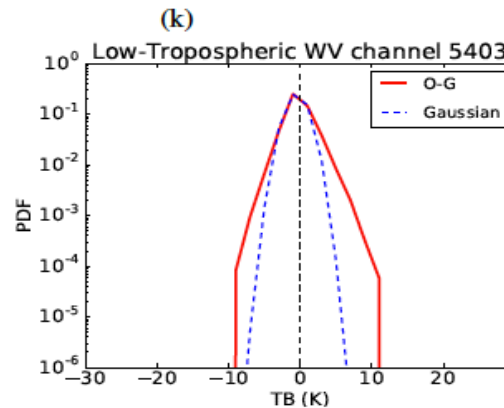
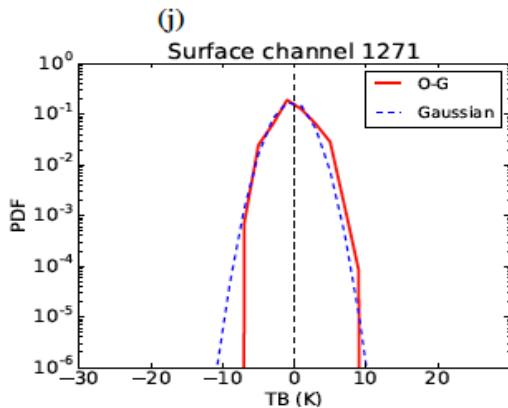
M. Destouches  
(personal communication)



# Non-gaussian errors for cloudy Tbs



Raw



Filtered  
36 % kept

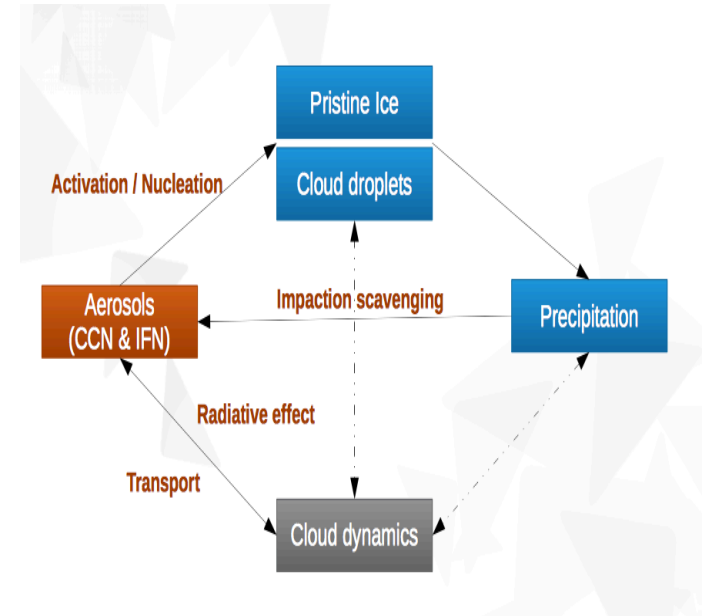
Simulation of IASI Tbs in cloudy sky with RTTOV-CLD and ARPEGE  
*Farouk et al. (2018)*

Gaussianity increased through:

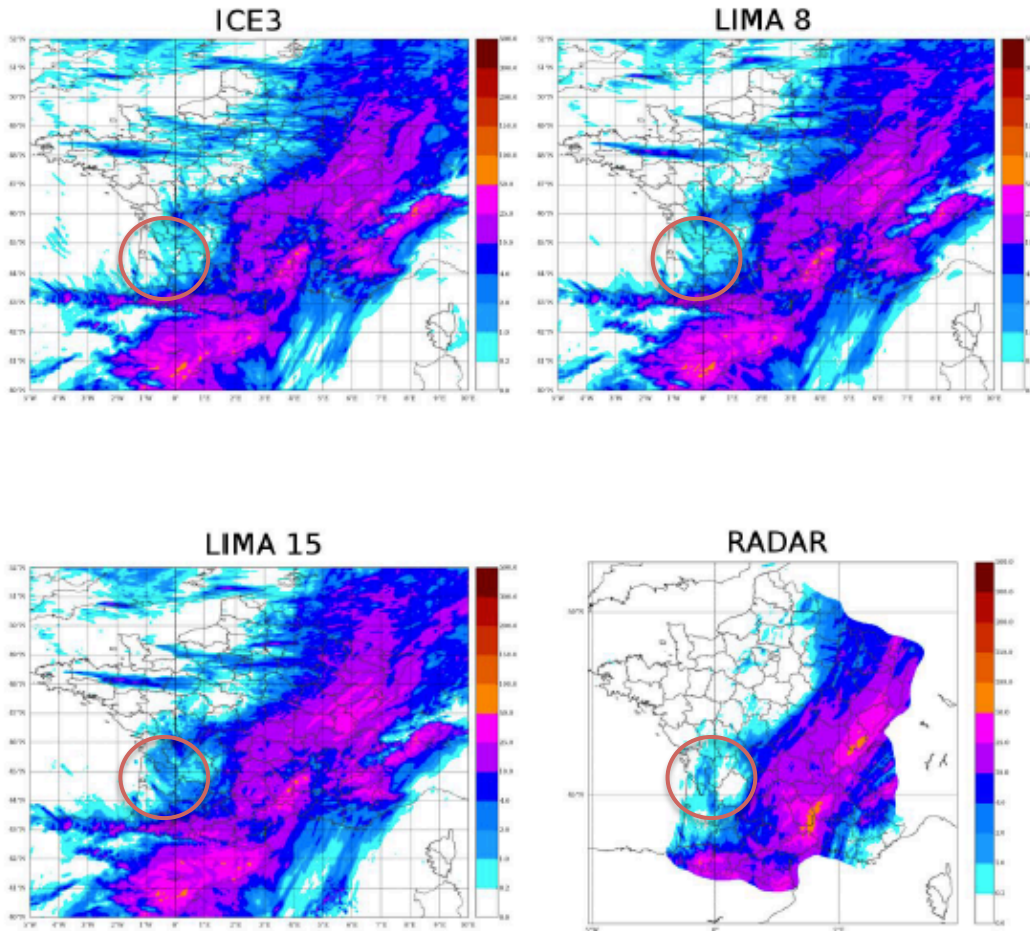
- quality controls -> selection of homogeneous scenes
- revised observation error model

# Atmospheric composition: aerosols

- Importance of aerosols on cloud formation and radiative budget
- Increased complexity in the description of cloud microphysics: hydrometeor number concentration as prognostic variables
- Need to include several aerosol types (natural and anthropogenic) and to prescribe/describe associated sources and sinks
- What is the optimal level of complexity to handle the processes without an excessive number of variables to initialize ?
- Need to explore the visible spectrum in terms of observation operator (AEOLUS, EarthCare, FCI/MTG, 3MI/METOP-SG, METImage/METOP-SG, ...)



# A two-moment microphysical scheme



**ICE-3:** one-moment scheme

- 5 prognostic variables for hydrometeor mixing ratio ( $q_r, q_s, q_g, q_l, q_i$ )

**LIMA :** two-moment scheme (Vié et al., 2016):

- 3 additional prognostic variables for  $N_c, N_i, N_r$
- 5  $\rightarrow$  12 additional variables for CCN and IFN number concentration

*Homonnai and Seity (2016)*

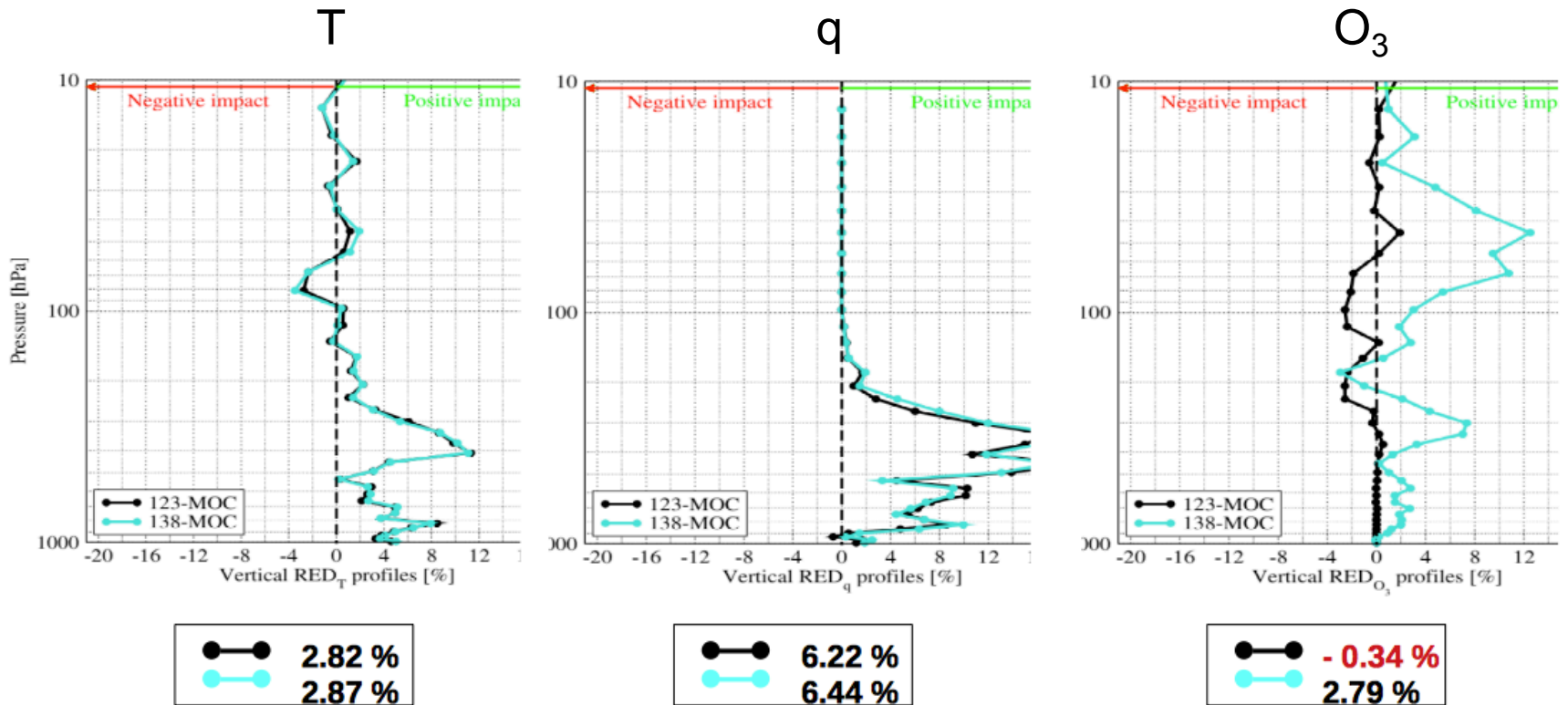
24-h accumulated precipitation with AROME and three microphysical schemes (LIMA initialized from CTM MOCAGE)

# Atmospheric composition: gases

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- The large number of prognostic variables and chemical reactions (reactive gases) to include makes the explicit coupling with atmospheric NWP models challenging (but possible C-IFS for CAMS)
- 3D atmospheric chemistry-transport models (CTMs) use wind fields from NWP models and consider similar transport processes (turbulence, convection)
- For atmospheric DA, gas concentration of relevant species for satellite radiances could be taken from CTMs ( $\text{CO}_2$ ,  $\text{O}_3$ )
- Inclusion of  $\text{O}_3$  mixing ratio in the control vector of NWP DA systems : stratospheric  $\text{O}_3$  photo-chemistry parametrization; model radiative forcing ; forecast error correlations with other variables ; observations sensitive to various atmospheric quantities

# Ozone retrieval from IASI channels



123 IASI channels (CO<sub>2</sub>, H<sub>2</sub>O, surface)

138 IASI channels (CO<sub>2</sub>, H<sub>2</sub>O, surface + O<sub>3</sub>)

Coopmann et al. (2018) JGR

Slight improvement of temperature and humidity profile retrievals when IASI O<sub>3</sub> channels are assimilated in a 1D-Var

# The upper atmosphere

- Importance of stratosphere and mesosphere for the predictability of the troposphere and climate monitoring
- Coupling with stratosphere/mesosphere is rather straightforward -> defined by model top geometry (vertical discretization)
- Need to describe relevant processes ( $O_3$  photochemistry, GW breaking)
- Importance of ionosphere for space weather predictions (protection and mitigation of solar threats on human activities)
- MHD models for the prediction of electron contents outside meteorological expertise
- Interest in GNSS-RO data that are sensitive to both the ionosphere and the neutral atmosphere
- Lack of dynamical information above 30 km => use of infra-sound propagation in the stratosphere/mesosphere from surface sources (volcanic eruptions, tsunamis, ocean swell, ....)





# Final conclusions (1)

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- Earth system modelling has been defined with a goal towards « seamless predictions » covering a wide range of spatial and temporal scales
- The focus has been on global and (sub-)seasonal scales (coupling with ocean and sea-ice, that are sources of predicability) but smaller spatial and temporal scales can also benefit from this framework (coupling between surface-clouds-aerosols)
- Earth system assimilation shall provide the initial conditions of its various components in a consistent manner
- This can be achieved by extracting more efficiently information from observations sensitive to several components of the Earth system
- There is need for improved observation operators and improved physics (towards L1 satellite data)

## Final conclusions (2)

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- Incremental DA approaches allow to account for non-linearities and outer loop coupling
- Ensemble DA approaches allow a rather easy extension of the control vector by providing cross-covariance background errors
- Earth system assimilation should allow a better identification of model errors (biases that could otherwise project on components not observed nor analyzed)
- The assimilation system will increase in complexity with additional modules => issues with code maintenance, evolutions (e.g. validation of individual modules) and expertise (external collaborations)
- Earth system assimilation needs to evolve towards a highly flexible and modular common software environment for its various components



**Thank you for your attention !**

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